# Approximate Counting CSPs

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#### **Constraint Satisfaction**

#### Let $\Gamma$ be a set of relations

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\#CSP(\Gamma):
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Instance: (V, C).

Objective: How many solutions does (V, C) have?

#### Let B be a relational structure

## #CSP(B):

Instance: A relational structure **A** of the same type as **B**.

Objective: How many homomorphisms from A to B

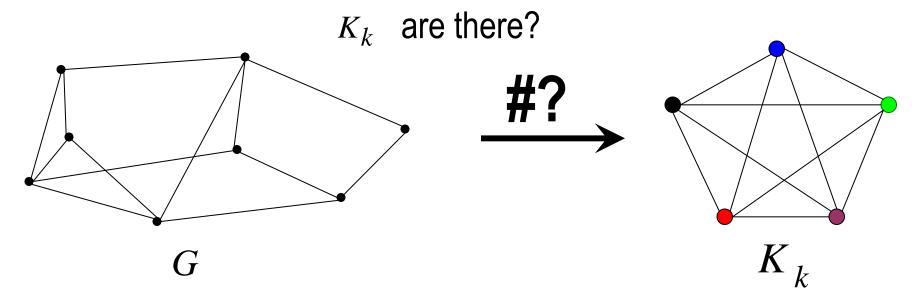
are there?

## **Counting Homomorphisms**

#### **#k-Coloring:**

Instance: A graph G.

Objective: How many homomorphisms from G to



More general: Let H be a (di)graph #H-Coloring = #CSP(H)

## Counting Homomorphisms

#### #Independent Set:

Instance: A graph G.

Objective: How many homomorphisms from G to

 $H_{is}$  are there?  $H_{is}$  are there?  $H_{is}$ 

## Examples: #SAT, Linear Equations

#3-SAT:  $= \#CSP(c_3)$ 

Instance: A propositional formula  $\Phi = C_1 \land ... \land C_n$  in 3-CNF.

Objective: How many satisfying assignments are there?

#### **#Linear Equations:**

= #CSP(F)

Instance: A system of linear equations

$$\begin{cases} a_{11}x_1 + \dots + a_{1m}x_m = b_1 \\ \dots \\ a_{n1}x_1 + \dots + a_{nm}x_m = b_n \end{cases}$$

Objective: How many solutions are there?

## Counting Problems: Fourier Coefficients

Let  $f: \{0,1\}^n \to \{0,1\}$  be a Boolean operation and  $S = \{i_1, ..., i_k\} \subseteq \{1, ..., n\}$ 

Fourier coefficient  $\hat{f}(S)$  is given by

$$\hat{f}(S) = \frac{1}{2^n} \sum_{x_1, \dots, x_n \in \{0,1\}^n} (-1)^{f(x_1, \dots, x_n)} (-1)^{x_{i_1} + \dots + x_{i_k}}$$

Observe that computing  $\hat{f}(S)$  reduces to counting the zeroes of  $f(x_1,...,x_n) + x_{i_1} + \cdots + x_{i_k}$ 

# Weighted #CSP

 $\Gamma$  is a set of functions  $f: B \to \mathbb{R}$  (natural, real, complex) Instance of  $\#\text{CSP}(\Gamma)$ : (V, C), C is a set of `constraints'  $f(\vec{x})$ 

Given an instance I = (V, C) the weight of a mapping  $\sigma: V \to B$  is computed as

$$w(\sigma) = \prod_{f(\vec{u}) \in \mathcal{C}} f(\sigma(\vec{u}))$$

Then

$$Z(I) = \sum_{\sigma: V \to B} w(\sigma)$$

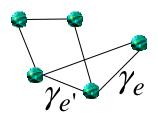
## Spin Systems



A particle can have one of the two spins

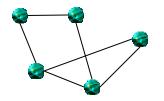


Two particles interact iff they have the same spin



A system of interacting particles form a graph with edge weights  $\gamma_e > -1$ , a spin system

#### **Potts Model**



A configuration of the spin system S is an assignment of spins  $\sigma: S \to \{L,R\}$ 

Energy of the system is 
$$\frac{1}{H(\sigma)} = \prod_{e=(u,v) \text{ an edge}} (1 + \gamma_e(\sigma(u) = \sigma(v)))$$

The probability the system is in configuration  $\sigma$  equals

$$\frac{1}{Z} \left( \frac{1}{T \cdot H(\sigma)} \right)$$
 where  $Z = \sum_{\sigma} \frac{1}{T \cdot H(\sigma)}$  is the partition

function, and T is temperature (Gibbs distribution)

$$Z_{\text{Potts}} = \sum_{\sigma} \prod_{e=(u,v) \text{ an edge}} (1 + \gamma_e(\sigma(u) = \sigma(v)))$$

# Potts Model (cntd)

Thus Potts model is equivalent to  $\# CSP(\Gamma)$  where  $\Gamma$  contains all binary functions of the form

$$\begin{pmatrix} 1+\gamma & 1 \\ 1 & 1+\gamma \end{pmatrix}, \quad \gamma \in \mathbb{R}$$

## **Exact Counting: CSP**

**Theorem** (B.; 2008)

For any  $\Gamma$  the problem  $\# CSP(\Gamma)$  is either polynomial time solvable, or # P-complete

**Theorem** (Dyer, Richerby.; 2010)

Given  $\Gamma$ , the problem of deciding if  $\#CSP(\Gamma)$  is poly time or not is in NP.

# **Exact Counting: Weighted CSP**

#### **Corollary** (B.; 2008)

For any  $\Gamma$  of non-negative rational valued functions the problem  $\# \mathsf{CSP}(\Gamma)$  is either polynomial time solvable, or  $\# \mathsf{P}$ -complete

### Theorem (Cai, Chen; 2010)

For any  $\Gamma$  of non-negative real valued functions the problem  $\#\mathsf{CSP}(\Gamma)$  is either poly time solvable, or  $\#\mathsf{P}\text{-}\mathsf{complete}$ 

**Theorem** (B.,Dyer,Goldberg, et al.; 2009) For any  $\Gamma$  of functions  $f: \{0,1\}^k \to \mathbb{Q}$  the problem  $\#\mathsf{CSP}(\Gamma)$  is either polynomial time solvable, or  $\#\mathsf{P}$ -complete

## Approximation

#### Relative error:

$$\Pr[e^{-\varepsilon}Z(I) \le A(I) \le e^{\varepsilon}Z(I)] \ge 3/4$$

An FPRAS: given I and  $\varepsilon$ , output A(I) satisfying the inequality above in time polynomial in |I| and  $\varepsilon^{-1}$ 

## Sampling

Given an instance of CSP and a probability distribution on its solutions, output a solution according to the distribution

- output a random 3-coloring
- output an independent set with probability proportional to its size

An approximate sampler: given I, distribution  $\pi_I$  and  $\epsilon$ , outputs  $\sigma$  according to probability distribution  $\omega_I$  such that the statistical distance between  $\omega_I$  and  $\pi_I$  is less than  $\epsilon$ , an runs in time polynomial in |I| and  $\epsilon^{-1}$ 

# Counting and Sampling

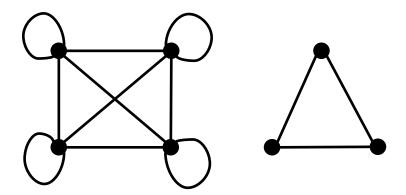
**Theorem** (Jerrum, Valiant, Vazirani; 1986) If  $\#\text{CSP}(\Gamma)$  is self-reducible then an FPRAS exists iff an approximate sampler exists

Let *I* be an instance. CSP is self reducible if we can fix value of a variable.

If we can count, choose a variable v, and let  $N_d$  be the number of solutions with v=d. Choose value of v according to distribution  $N_d$ ,  $d \in D$ , substitute, and recurse. If we can sample, estimate the probability  $p_{v=d} = {N_d / N}$ . Substitute any value with nonzero probability, and recurse. The answer is  $p_{v_1=d_1} \cdot p_{v_2=d_2} \cdot \ldots \cdot p_{v_n=d_n}$ 

## Easy Problems

Problems that can be solved exactly are easy There are other easy problems



Other easy problems from Potts model, solved using Markov chains (see Jerrum et al.)
Also #Match and #DNF

#### AP-Reduction

An AP-reduction from P to P' is a randomized algorithm A solving P using an oracle for P'.

Input:  $(I,\varepsilon)$ , I an instance of P

Oracle call:  $(J,\delta)$ ,  $\delta^{-1} \leq \text{poly}(|I|, \varepsilon^{-1})$ 

Running time: polynomial in |I| and  $\varepsilon^{-1}$ 

Requirements: If the oracle is an FPRAS, A must be an

**FPRAS** 

#### Hard Problems

Hardest: #SAT AP-interreducible #SAT has no FPRAS unless NP = RP (Zuckermann, 1996)

**Theorem** (Dyer et al; 2003) #SAT is #P-complete with respect to AP-reductions

AP-interreducible with #SAT:

#IS (independent sets)

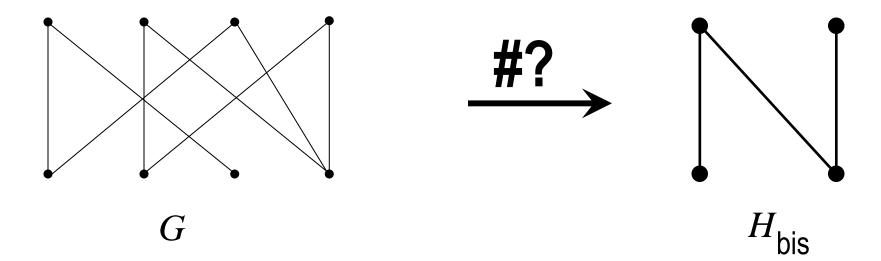
#MaxIS

Widom-Rowlingson configurations

## #BIS

#Bipartite-Independent-Set (#BIS): Given a bipartite graph, find the number of its independent sets

$$= \#CSP(H_{bis})$$



#### **#BIS** and Friends

#BIS is not believed to have FPRAS or be #SAT AP-interreducible Many other problems are interreducible with #BIS #Downset: Given a poset, find the number of downsets in it

#1p1nSAT Given a CNF such that every clause has a positive and a negative literal, find the number of satisfying assignments #BeachConfigs

$$\#CSP(H_{bc})$$
  $H_{bc}$   $\bigcirc$   $\bigcirc$ 

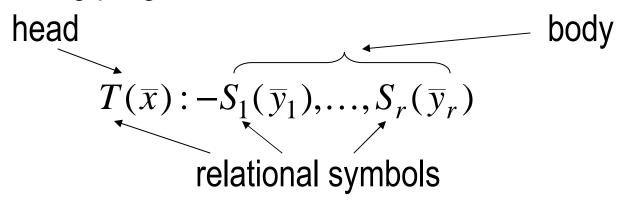
#### More #BIS

#### Theorem (B., Hedayaty, 2010)

Let *A* be a relational structure. Then if it has both meet and join operations of a distributive lattice as polymorphisms then #CSP(*A*) is AP-reducible to #BIS.

## **Datalog**

A Datalog program is a finite set of rules of the form



Let 
$$H = (V,E)$$
 be a graph 
$$T(x,y) : - E(x,y)$$
$$T(x,y) : - E(x,z), T(z,y)$$

A Datalog program is linear if each rule contains at most one auxiliary predicate in the body

## **Datalog**

A fixed point of a Datalog program is a value of T(x,y) such that all the rules are satisfied

#FixedPoints(P): Given an instance *I* of Datalog program P, find the number of fixed points of P on *I* 

**Theorem** (Dyer et al.; 2003)

A problem is reducible to #BIS if and only if it is AP-interreducible with #FixedPoints(P) for some Datalog program P.

## **Boolean Approximation**

#### Theorem (Dyer, Goldberg, Jerrum, 2007)

Let **A** be a relational structure over {0,1}. Then

- if A has a Mal'tsev polymorphism, then #CSP(A) is solvable in polynomial time;
- otherwise, if it has both conjunction and disjunction as polymorphisms then #CSP(A) is as hard as #BIS;
- otherwise it is hard.

## Between FPRAS and #BIS 1

#### Theorem (Bordewich; 2010)

If FPRAS  $<_{AP}$  #BIS  $<_{AP}$  #P then there is an infinite hierarchy of classes not AP-reducible to each other.

#### **Theorem**

If H is a reflexive oriented graph then #CSP(H) is #BIS-hard.

### Between FPRAS and #BIS 2

**Theorem** (Goldberg, Kelk, Paterson; 2004)
If there is an approximate sampler for *H*-colorings (*H* is a `nontrivial' undirected graph), then there is a sampler for BIS.

Since BIS is self-reducible

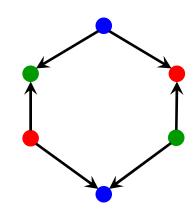
#### **Corollary**

For an undirected graph H, #CSP(H) is either in FPRAS or is #BIS-hard.

# **Beyond Trichotomy**

#Bipartite 3-Colorability = 
$$\#CSP\begin{pmatrix} a & a & b & b & c & c \\ 1 & 2 & 0 & 2 & 0 & 1 \end{pmatrix}$$

Not believed to be #BIS-easy or #P-hard



$$\mathsf{FPRAS} \leq_{\mathsf{AP}} \mathsf{\#BIS} \leq_{\mathsf{AP}} \mathsf{\#B3\text{-}COL} \leq_{\mathsf{AP}} \mathsf{\#B5\text{-}COL} \leq_{\mathsf{AP}} \ldots \leq_{\mathsf{AP}} \mathsf{\#SAT}$$

## Counting to Optimization

#### **Observation**

$$\mathsf{VCSP}(\Gamma) \leq_{\mathsf{AP}} \!\! \# \mathsf{CSP}(\Gamma)$$

Take I an instance of VCSP( $\Gamma$ )

Let  $I^k$  be the instance obtained by repeating all functions k times.

Then 
$$Z(I^k) = \sum_{W \text{ a possible weight of a solution}} n_W W^k$$

Choose k such that the maximal W dominates, k = poly(I)Output  $\frac{Z(I^{k+1})}{Z(I^k)}$ 

## Classifications for Weighted #CSP

For any set  $\Gamma$  of functions  $f: D^n \to \mathbb{R}$  we want to determine the complexity of  $\#\mathsf{CSP}(\Gamma)$ 

Computable reals: There is a TM that given n computes the fist n bits of a in time poly(n)

## Theorem (Yamakami; 2010)

Let  $\Gamma$  be a set of functions  $f : \{0,1\}^n \to \mathbb{C}$  that contains all unary functions. Then  $\#\mathsf{CSP}(\Gamma)$  is either FPRAS, or  $\#\mathsf{BIS}$ , or  $\#\mathsf{SAT}$ -hard.

For a  $\Gamma$ , what functions f can be added to  $\Gamma$  so that  $\# CSP(\Gamma \cup \{f\}) \leq {}_{AP}\# CSP(\Gamma)$ ?

- Multiplying by a constant function:  $f \in \Gamma$  then  $\# \mathsf{CSP}(\Gamma \cup \{\alpha : f\}) \leq \mathsf{AP} \# \mathsf{CSP}(\Gamma)$ 

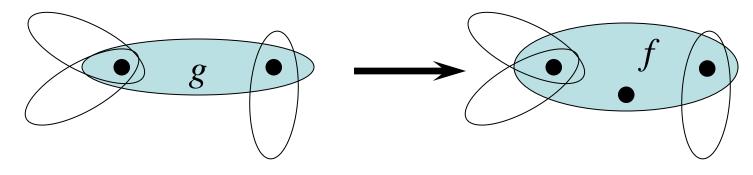
- Product:  $f,g \in \Gamma$  then  $\# CSP(\Gamma \cup \{f \cdot g\}) \leq_{AP} \# CSP(\Gamma)$ 



- Summation:  $f(x_1,...,x_n,y) \in \Gamma$  and

$$g(x_1,...,x_n) = \sum_{y \in D} f(x_1,...,x_n,y)$$

then  $\#CSP(\Gamma \cup \{g\}) \leq_{AP} \#CSP(\Gamma)$ 



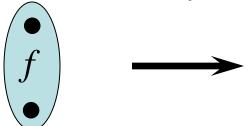
- Denote by  $[\Gamma]$  the set of all functions obtained from  $\Gamma$  using the above operations;

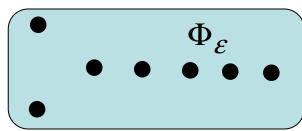
Call a sequence of such operations a pps-formula

- Limits: A function f is a limit of functions from  $\Gamma$  if
  - f is computable
  - for any  $\varepsilon$  > 0 there is  $f_{\mathcal{E}}$  such that  $\|f f_{\mathcal{E}}\|_{\infty} < \varepsilon$
  - there is a TM, poly time in  $\varepsilon^{-1}$  that computes pps-

formulas for  $f_{\mathcal{E}}$ 

Then  $\#CSP(\Gamma \cup \{f\}) \leq_{AP} \#CSP(\Gamma)$ 





A set of functions closed under multiplication by a constant, products, summation, and limits is said to be an  $\omega$ -clone

The  $\omega$ -clone generated by a set of functions  $\Gamma$  is denoted  $\langle \Gamma \rangle$ 

Theorem (B., Dyer, Goldberg, Jerrum; 2011)

If  $\Gamma' \subseteq \langle \Gamma \rangle$  is finite then  $\#\text{CSP}(\Gamma') \leq_{\mathsf{AP}} \#\text{CSP}(\Gamma)$ 

## Log Supermodular Functions

A function  $f: \{0,1\}^n \to \mathbb{R}$  is said to be log supermodular if for any  $\vec{x}, \vec{y} \in \{0,1\}^n$   $f(\vec{x}) \cdot f(\vec{y}) \le f(\vec{x} \land \vec{y}) \cdot f(\vec{x} \lor \vec{y})$ 

#### Lemma

LSM is an ω-clone

For any `nontrivial' function  $f \in LSM$ ,  $H_{ds} \in \langle f \rangle$  Question 1: Does  $H_{ds}$  (+ some unary functions maybe) generate LSM?

Question 2: Any 'morphisms' for  $\omega$ -clones?

## Log Supermodular Functions

#### Theorem (B., Dyer, Goldberg, Jerrum; 2011)

Let  $\Gamma$  be a set of functions  $f:\{0,1\}^n \to \mathbb{R}$  containing all nonnegative unary functions. Then either  $\Gamma$  is LSM or  $\#CSP(\Gamma)$  is #P-complete

#### **Corollary**

Let  $\Gamma$  be a set of functions as above. Then either  $\#\text{CSP}(\Gamma)$  is in FPRAS, or it is #BIS-hard or it is #P-complete

# Thank you!