Sheaves on subanalytic sites and \mathcal{D} -modules

Luca Prelli

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Luca Prelli Sheaves on subanalytic sites and *D*-modules

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Categories

Definition: A category C is the data of a set Ob(C) of objects of C, and for any $X, Y \in Ob(C)$ a set of morphisms $Hom_{\mathcal{C}}(X, Y)$, with a composition \circ which is associative and satisfying $f \circ id = f$ and $id \circ g = g$.

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Examples of categories

- Set: the objects are sets and morphisms are maps between sets.
- Mod(k) (k a field): the objects are k-vector spaces and morphisms are linear maps.
- Op(X) (X a topological space): the objects are the open subsets of X and the morphisms are the inclusions.

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Functors

Definition: Given two categories $\mathcal{C}, \mathcal{C}'$, a functor $F : \mathcal{C} \to \mathcal{C}'$ is the data of a morphism

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and for each $X, Y \in Ob(\mathcal{C})$, a morphism

 F_m : Hom_{\mathcal{C}} $(X, Y) \rightarrow$ Hom_{\mathcal{C}'} $(F_o X, F_o Y)$

commuting with the composition law.

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commuting with the composition law.

Definition: Two categories are equivalent if there is a functor F such that F_o is a bijection between the isomorphism classes of objects and F_m is a bijection between the set of morphisms.

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What is a sheaf?

Let X be a topological space and let k be a field.

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> Open sets of $X \rightarrow \operatorname{Mod}(k)$ $U \mapsto \Gamma(U; F) \quad (= F(U))$

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Satisfying the following gluing conditions. Let *U* be open and let $\{U_j\}_{j \in J}$ be a covering of *U*. We have the exact sequence

$$0 o F(U) o \prod_{j \in J} F(U_j) o \prod_{j,k \in J} F(U_j \cap U_k)$$

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It means that

• if $s \in \Gamma(U; F)$ and $s|_{U_i} = 0$ for each *j* then s = 0

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- if $s \in \Gamma(U; F)$ and $s|_{U_i} = 0$ for each *j* then s = 0
- if $s_j \in \Gamma(U_j; F)$ such that $s_j = s_k$ on $U_j \cap U_k$ then they glue to $s \in \Gamma(U; F)$ (i.e. $s|_{U_j} = s_j$)



Let us consider

$$\begin{split} \mathbb{R}_X : \text{Open sets of } X & \to & \text{Mod}(\mathbb{R}) \\ U & \mapsto & \Gamma(U; \mathbb{R}_X) = \{\text{constant functions on } U\} \end{split}$$

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Examples

Let us consider

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$$U \mapsto \Gamma(U; \mathbb{R}_X) = \{\text{constant functions on } U\}$$

$$(V \subset U) \mapsto (\mathbb{R}_X(U) \to \mathbb{R}_X(V)) \text{ (restriction)}$$

$$s \mapsto s|_V$$

- If s is zero on a covering of U then s = 0.
- For example, let $X = \mathbb{R}$, $U_1 = (1, 2)$, $U_2 = (2, 3)$. We have $U_1 \cap U_2 = \emptyset$. The constant functions $s_1 = 0$ on U_1 and $s_2 = 1$ on U_2 do not glue on a constant function on $U_1 \cup U_2$.

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⇒ The correspondence $U \mapsto \Gamma(U; \mathbb{R}_X) = \{ \text{constant functions on } X \}$ does not define a sheaf on *X*.

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⇒ The correspondence $U \mapsto \Gamma(U; \mathbb{R}_X) = \{ \text{constant functions on } X \}$ does not define a sheaf on *X*. We have to consider locally constant functions.

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Let us consider

$\mathcal{C}_X : \text{Open sets of } X \rightarrow \text{Mod}(\mathbb{R})$ $U \mapsto \{\text{continuous real valued functions on } U \}$

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Examples

Let us consider

 \mathcal{C}_X : Open sets of $X \to \operatorname{Mod}(\mathbb{R})$ $U \mapsto \{ \text{continuous real valued functions on } U \}$

- If s is a continuous function and s is zero on a covering of U then s = 0.
- If {*s_i*} are continuous functions on a covering {*U_i*} of *U*, such that *s_i* = *s_j* on *U_i* ∩ *U_j*, then there exists *s* continuous on *U* with *s* = *s_i* on each *U_i*.



Let us consider

$$\mathcal{C}_X$$
: Open sets of $X \to \operatorname{Mod}(\mathbb{R})$
 $U \mapsto \{ \text{continuous real valued functions on } U \}$

⇒ The correspondence $U \mapsto \Gamma(U; C_X) = \{\text{continuous real valued functions on } U\}$ defines a sheaf on *X*

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Let us consider

\mathcal{C}^b_X : Open sets of $X \to \operatorname{Mod}(\mathbb{R})$ $U \mapsto \{ \text{continuous bounded functions on } U \}$

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Let us consider

 \mathcal{C}^b_X : Open sets of $X \to \operatorname{Mod}(\mathbb{R})$ $U \mapsto \{ \text{continuous bounded functions on } U \}$

• For example, let $X = \mathbb{R}$, $U_n = (-n, n)$, $n \in \mathbb{N}$, and $s_n : U_n \to \mathbb{R}$, $x \mapsto x^2$. Then s_n is bounded on U_n for each $n \in \mathbb{N}$, but $x \mapsto x^2$ is not bounded on \mathbb{R} .

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Let us consider

\mathcal{C}^b_X : Open sets of $X \to \operatorname{Mod}(\mathbb{R})$ $U \mapsto \{ \text{continuous bounded functions on } U \}$

⇒ The correspondence $U \mapsto \Gamma(U; C_X^b) =$ {continuous bounded real valued functions on U} does not define a sheaf on X.

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More Examples

Sheaves: holomorphic functions, C^{∞} functions , distributions.

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Sheaves: holomorphic functions, C^{∞} functions , distributions. Not sheaves: L^2 functions, tempered distributions.

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Sheaves: holomorphic functions, C^{∞} functions, distributions. Not sheaves: L^2 functions, tempered distributions. In fact they do not satisfy gluing conditions.

If we consider "less open subsets" and "less coverings" they may become sheaves.

More Examples

Sheaves: holomorphic functions, C^{∞} functions, distributions. Not sheaves: L^2 functions, tempered distributions. In fact they do not satisfy gluing conditions.

If we consider "less open subsets" and "less coverings" they may become sheaves. We need the notion of site.



Let $F \in Mod(k_X)$ we define the fiber of F at x as

$$F_x = \varinjlim_{U \ni x} F(U) \in \operatorname{Mod}(k)$$

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 $\begin{array}{c} \text{Contents} \\ \text{Sheaves} \\ \text{Sheaves on subanalytic sites} \\ \mathcal{D}\text{-modules} \end{array}$

Fibers

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It means that the elements of F_x are equivalence classes, i.e. $f \in F_x$ is represented by $f \in F(U)$ where U is a neighborhood of x.



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Moreover, given $U_1, U_2 \ni x$ and $f_i \in U_i$, we have $f_1 \equiv f_2$ in F_x if $f_1 = f_2$ on a neighborhood of $x \ W \subset U_1 \cap U_2$.

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Two sheaves F, G are isomorphic if

$$F_x \simeq G_x$$

for any $x \in X$. More generally a sequence of sheaves

$$0 \to F' \to F \to F'' \to 0$$

is exact if the sequence

$$0 \to F'_x \to F_x \to F''_x \to 0$$

is exact in $Mod(k_X)$.

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Topological sites

The definition of sheaf depends only on

- open subsets
- coverings

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- coverings

One can generalize this notion by choosing a subfamily of open subsets T of X and for each U a subfamily Cov(U) of coverings if U satisfying suitable hypothesis (defining a site X_T).

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Topological sites

One can generalize this notion by choosing a subfamily of open subsets T of X and for each U a subfamily Cov(U) of coverings if U satisfying suitable hypothesis (defining a site X_T).

Then $F : \mathcal{T} \to Mod(k)$ is a sheaf on $X_{\mathcal{T}}$ if for each $U \in \mathcal{T}$ and each $\{U_i\}_{i \in J} \in Cov(U)$ we have the exact sequence

$$0 o F(U) o \prod_{j \in J} F(U_j) o \prod_{j,k \in J} F(U_j \cap U_k)$$

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For example, let us consider the site X_T where

- T=open subsets of X
- Cov(U)={finite coverings of U}

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For example, let us consider the site X_T where

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and consider the correspondence $U \mapsto \Gamma(U; C_X^b)$ (continuous bounded functions).

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For example, let us consider the site X_T where

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and consider the correspondence $U \mapsto \Gamma(U; C_X^b)$ (continuous bounded functions).

• If $\{s_i\}$ are bounded on a finite covering $\{U_i\}$ of U, such that $s_i = s_j$ on $U_i \cap U_j$, then there exists *s* bounded on *U* with $s = s_i$ on each U_i .

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For example, let us consider the site X_T where

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and consider the correspondence $U \mapsto \Gamma(U; \mathcal{C}^{b}_{\chi})$ (continuous bounded functions). \Rightarrow The correspondence $U \mapsto \Gamma(U; \mathcal{C}^{b}_{\chi})$

defines a sheaf on X_T .

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The general case

Let *X* be a topological space and consider a family of open subsets \mathcal{T} satisfying:

 $\begin{cases} \text{(i) } U, V \in \mathcal{T} \Leftrightarrow U \cap V, U \cup V \in \mathcal{T}, \\ \text{(ii) } U \setminus V \text{ has finite numbers of connected components } \forall U, V \in \mathcal{T}, \\ \text{(iii) } \mathcal{T} \text{ is a basis for the topology of } X. \end{cases}$

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Definition: The site X_T is defined by:

• open subsets: elements of ${\mathcal T}$

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Definition: The site X_T is defined by:

- open subsets: elements of \mathcal{T}
- Cov(U) (coverings of $U \in Op(X_T)$): finite coverings of U

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- **①** $T = \{ \text{open semialgebraic subsets of } \mathbb{R}^n \}$
- **2** T ={open relatively compact subanalytic subsets of a real analytic manifold}, the subanalytic site X_{sa} .

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- **1** $T = \{ \text{open semialgebraic subsets of } \mathbb{R}^n \}$
- 2 $T = \{\text{open relatively compact subanalytic subsets of a real analytic manifold}, the subanalytic site <math>X_{sa}$.
- 3 $T = \{\text{open definable subsets of } N^n\}, \text{ given an O-minimal structure } (N, <, ...), the site DTOP.$

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Construction of sheaves on X_T

Let *F* be a presheaf on X_T . Assume that

● *F*(∅) = 0

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Construction of sheaves on X_T

Let *F* be a presheaf on X_T . Assume that

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- $\forall U, V \in T$ the sequence

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Then *F* is a sheaf on X_T .

Subanalytic sheaves

From now on we will consider the subanalytic site X_{sa} .

• open subsets: relatively compact subanalytic open subsets

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Subanalytic sheaves

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Why subanalytic sheaves?

Let us consider as an example the presheaf

 $U\mapsto \mathcal{D}b^t_X(U)$

of tempered distribution over a real analytic manifold X. This is not a sheaf with the usual topology.

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Why subanalytic sheaves?

Let us consider as an example the presheaf

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of tempered distribution over a real analytic manifold X. This is not a sheaf with the usual topology.

For example, if $X = \mathbb{R}$, we can find tempered distributions s_n on $\{\frac{1}{n} < x < 1\}$, $n \in \mathbb{N}$ which do not glue to a tempered distribution s on $\{0 < x < 1\}$.

Why subanalytic sheaves?

Anyway for U, V open subanalytic relatively compact subsets of X we have the exact sequence

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S. ŁOJASIEWICZ Sur le problème de la division, Studia Mathematica 8 pp. 87-136 (1959).

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Anyway for U, V open subanalytic relatively compact subsets of X we have the exact sequence

$$0 \to \mathcal{D}b^t_X(U \cup V) \to \mathcal{D}b^t_X(U) \oplus \mathcal{D}b^t_X(V) \to \mathcal{D}b^t_X(U \cap V)$$

This implies that $U \mapsto \mathcal{D}b_X^t(U)$ is a sheaf on the subanalytic site X_{sa} .

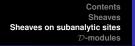
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Tempered holomorphic functions

Let *X* be a complex manifold and let $U \subset X$ be a relatively compact subanalytic open subset, *f* holomorphic on *U* is tempered if $\exists M, C > 0$ such that

$$|f(z)| \leq \frac{C}{\operatorname{dist}(z,\partial U)^M}$$

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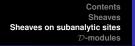


In the case of subanalytic sheaves we do not have the notion of fibers in the usual sense, i.e. if we consider

$$F_x = \varinjlim_{U \ni x} F(U)$$

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i.e. there are $F \not\simeq G$ even if $F_x \simeq G_x \ \forall x \in X$.

Example: Let $X = \mathbb{R}$ and consider the sheaves $C_{\mathbb{R}}$ and $C_{\mathbb{R}}^{b}$. Then $C_{\mathbb{R},x} \simeq C_{\mathbb{R},x}^{b} \, \forall x \in \mathbb{R}$. Indeed, any continuous function *f* in $(x - \varepsilon, x + \varepsilon), \varepsilon > 0$ is bounded in $(x - \varepsilon/2, x + \varepsilon/2)$.

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We need to consider more points.

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Spectral topology

Let us consider a countable locally finite covering $\{U_n\}_{n\in\mathbb{N}}$ of X, with $U_n \simeq \mathbb{R}^n$ relatively compact and subanalytic.

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A neighborhood of an ultrafilter α is a globally subanalitic open subset *U* contained in α .

We call \hat{X} the associated topological space. In \hat{X} any covering of a relatively compact subanalytic open subset has a finite subcover.

Example

For example, the points of $\widetilde{\mathbb{R}}$ are the following. Let $x \in \mathbb{R}$

- {*S* subanalytic, $S \supseteq x$ } (the point *x*)
- **2** {*S* subanalytic, $S \supseteq (x, x + \varepsilon)$, $\varepsilon > 0$ } (the point x^+)
- **③** {*S* subanalytic, *S* ⊇ (*x* − ε , *x*), ε > 0} (the point *x*[−])

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Thanks to these new points we can distinguish $C_{\mathbb{R}}$ from $C_{\mathbb{R}}^{b}$ on $\widetilde{\mathbb{R}}$. For example let $f = x^{-1}$. Then $f \notin C_{\mathbb{R}}^{b}(0, \varepsilon) \forall \varepsilon > 0$.

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Thanks to these new points we can distinguish $C_{\mathbb{R}}$ from $C_{\mathbb{R}}^{b}$ on $\widetilde{\mathbb{R}}$. For example let $f = x^{-1}$. Then $f \notin C_{\mathbb{R}}^{b}(0,\varepsilon) \forall \varepsilon > 0$. Hence $f \notin C_{\mathbb{R},0^{+}}^{b}$, but $f \in C_{\mathbb{R},0^{+}}$, this implies $C_{\mathbb{R},0^{+}}^{b} \not\simeq C_{\mathbb{R},0^{+}}$.

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 \mathcal{D} -modules

Topological and subanalytic sheaves

Theorem:

Let *X* be a real analytic manifold. The categories $Mod(k_{X_{sa}})$ and $Mod(k_{\tilde{X}})$ are equivalent.

Hence, if we want to work on fibers on X_{sa} , we have to consider the topological space \widetilde{X} .

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Operations

Theorem:

Let $f : X \to Y$ be a morphism of real analytic manifolds. The six Grothendieck operations $\mathcal{H}om$, \otimes , f_* , f^{-1} , $f_{!!}$, $f^!$ are well defined for subanalytic sheaves.

 L. PRELLI Sheaves on subanalytic sites, Rendiconti del Seminario Matematico dell'Università di Padova Vol. 120 (2008).

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The ring of differential operators

Let *X* be a complex analytic manifold. We denote by \mathcal{D}_X the sheaf of rings of differential operators. Locally, a section of $\Gamma(U; \mathcal{D}_X)$ may be written as $P = \sum_{|\alpha| \le m} a_{\alpha}(z) \partial_z^{\alpha}$ with $a_{\alpha}(z)$ holomorphic on *U*.

We denote by $Mod(\mathcal{D}_X)$ the sheaf of \mathcal{D}_X -modules.

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Complex of solutions

The sheaf \mathcal{O}_X of holomorphic functions has a structure of \mathcal{D}_X -module.



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Definition: If *U* is open, $\mathcal{F} \in \mathcal{D}_X$ -module, *P* a differential operator, $\mathcal{Sol}_{\mathcal{F}}(P)$ on *U* is the complex

$$\Gamma(U;\mathcal{F}) \xrightarrow{P} \Gamma(U;\mathcal{F})$$

 $\begin{array}{lll} H^0(U; \mathcal{S}ol_{\mathcal{F}}(P)) &=& \{s \in \Gamma(U; \mathcal{F}), \ Ps = 0\} = \ker P \\ H^1(U; \mathcal{S}ol_{\mathcal{F}}(P)) &=& \Gamma(U; \mathcal{F}) / P\Gamma(U; \mathcal{F}) = \operatorname{coker} P \end{array}$

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Definition: P_1 and P_2 are equivalent if for any \mathcal{F} ker $P_1 \simeq \text{ker } P_2$ and $\text{coker} P_1 \simeq \text{coker} P_2$ (i.e. $\mathcal{Sol}_{\mathcal{F}}(P_1)$ and $\mathcal{Sol}_{\mathcal{F}}(P_2)$ are quasi-isomorphic).



Let $\alpha \in \mathbb{C}$, and consider the operators

$$P_{\alpha} = z\partial_{Z} - \alpha$$
 $P_{\alpha+1} = z\partial_{Z} - \alpha - 1.$



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the above morphisms induce an isomorphism between the homogeneous solutions ker P_{α} and ker $P_{\alpha+1}$.

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Moreover one can prove that the above morphisms induce an isomorphism between $\operatorname{coker} P_{\alpha}$ and $\operatorname{coker} P_{\alpha+1}$ (i.e. the complexes $\operatorname{Sol}_{\mathcal{F}}(P_{\alpha})$ and $\operatorname{Sol}_{\mathcal{F}}(P_{\alpha+1})$ are quasi-isomorphic).

Luca Prelli Sheaves on subanalytic sites and *D*-modules

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Hence P_{α} and $P_{\alpha+1}$ are equivalent.

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Let $f \in \mathcal{O}_X(U)$.

The equation $z\partial_z u = f$ has holomorphic solutions if and only if f(0) = 0. The equation $\partial_z u = f$ has always solutions.

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Then $z\partial_Z$ and ∂_Z are not equivalent.



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$$\begin{array}{lll} H^0(U, \mathcal{S}ol_{\mathcal{M}}(z(z\partial_Z+1))) &\simeq & \mathbb{C} \cdot z^{-1} \\ H^0(U, \mathcal{S}ol_{\mathcal{M}}(z^2\partial_Z+1)) &\simeq & 0 \ \text{because } \exp(z^{-1}) \notin \mathcal{M}(U). \end{array}$$



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Hence $z(z\partial_z + 1)$ and $z^2\partial z + 1$ are not equivalent (even if the holomorphic solutions are).

Equivalence for regular operators

Definition: $P = \sum_{\alpha \leq n} a_{\alpha}(z) \partial^{\alpha}$, $a_{\alpha}(0) \neq 0$, is regular at 0 if for each $j \leq n$, $n - \operatorname{ord}_{0}(a_{n}) \geq j - \operatorname{ord}_{0}(a_{j})$.

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Theorem: Let P and Q be regular at 0. The following are equivalent.

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- 2 The kernels and cokernels of

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are isomorphic (i.e. $Sol_{\mathcal{O}_X}(P)$ is quasi-isomorphic to $Sol_{\mathcal{O}_X}(Q)$). In particular the holomorphic solutions are sufficient to establish if two regular equations are equivalent.

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Subanalytic sheaves and solutions

The sheaf \mathcal{O}_X^t of tempered holomorphic functions has a structure of $\rho_! \mathcal{D}_X$ -module. ($\Gamma(U; \rho_! \mathcal{D}_X)$ are differential operators $\sum_{|\alpha| \leq m} a_\alpha \partial_z^\alpha$ with a_α holomorphic in \overline{U})

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Example

Let us consider the operators $z^2\partial_z + 1$ and $z^3\partial_z + 2$. Their solutions are respectively $\exp(z^{-1})$ and $\exp(z^{-2})$.

Theorem (G. Morando): There exists an open subanalytic U such that $\exp(z^{-1}) \in \mathcal{O}_X^t(U)$ and $\exp(z^{-2}) \notin \mathcal{O}_X^t(U)$.

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Let us consider the operators $z^2\partial_z + 1$ and $z^3\partial_z + 2$. Their solutions are respectively $\exp(z^{-1})$ and $\exp(z^{-2})$.

Theorem (G. Morando): There exists an open subanalytic U such that $\exp(z^{-1}) \in \mathcal{O}_X^t(U)$ and $\exp(z^{-2}) \notin \mathcal{O}_X^t(U)$.

In particular for such U we have

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Hence thanks to tempered holomorphic solutions we can distinguish irregular differential operators which cannot be distinguished with holomorphic solutions.

Sheaves on subanalytic sites and \mathcal{D} -modules

Luca Prelli

Toronto, 6 may 2009

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