

# Application of entropy compression in pattern avoidance

Pascal Ochem, Alexandre Pinlou

LIRMM, Université Montpellier 2

24 avril 2013

# Definitions

- ▶  $p$  : a pattern over the alphabet  $\{A, B, C, \dots\}$
- ▶  $w$  : a word over the alphabet  $\Sigma_k = \{0, 1, \dots, k - 1\}$

$$p = ABA \quad w = 0112101120$$

- ▶  $\lambda(p)$  : avoidability index of  $p$

$$\lambda(AA) = 3 \quad \lambda(AAA) = 2$$

# Results

Theorem (Bell & Goh, 2007 ; Rampersad, 2011)

*Let  $p$  be a pattern over  $k$  variables*

- ▶ *If  $|p| \geq 2^k$ , then  $\lambda(p) \leq 4$  [Bell & Goh, 2007]*
- ▶ *If  $|p| \geq 3^k$ , then  $\lambda(p) \leq 3$  [Rampersad, 2011]*
- ▶ *If  $|p| \geq 4^k$ , then  $\lambda(p) = 2$  [Rampersad, 2011]*

Theorem (O. & Pinlou, 2013; Blanchet-Sadri & Woodhouse, 2013)

*Let  $p$  be a pattern over  $k$  variables*

1. *If  $|p| \geq 2^k$ , then  $\lambda(p) \leq 3$*
2. *If  $|p| \geq 3 \times 2^{k-1}$ , then  $\lambda(p) = 2$*

## Optimality

Let  $p$  be a pattern over  $k$  variables

1. If  $|p| \geq 2^k$ , then  $\lambda(p) \leq 3$
2. If  $|p| \geq 3 \times 2^{k-1}$ , then  $\lambda(p) = 2$

$\forall k \geq 1$  :

1. there exists an unavoidable pattern of size  $2^k - 1$   
 $\{A, ABA, ABACABA, ABACABADABACABA, \dots\}$
2. there exists a 2-unavoidable pattern of size  $3 \times 2^{k-1} - 1$   
 $\{AA, AABAA, AABAACAABAA, AABAACAABAADAABAACAABA, \dots\}$

## Known results

Let  $p$  be a pattern over  $k$  variables

1. If  $|p| \geq 2^k$ , then  $\lambda(p) \leq 3$
2. If  $|p| \geq 3 \times 2^{k-1}$ , then  $\lambda(p) = 2$

Patterns with at most 3 variables

- ▶  $k = 1$  :  $\lambda(AA) = 3$  et  $\lambda(AAA) = 2$
- ▶  $k = 2$  : For a pattern  $p \in \{A, B\}^*$ 
  - ▶ if  $|p| \geq 4$ , then  $p$  contains a square, so  $\lambda(p) \leq 3$
  - ▶ if  $|p| \geq 6$ , then  $\lambda(p) = 2$  (Roth, 1992)
- ▶  $k = 3$  : For a pattern  $p \in \{A, B, C\}^*$ 
  - ▶ if  $|p| \geq 8$ , then  $\lambda(p) \leq 3$
  - ▶ if  $|p| \geq 12$ , then  $\lambda(p) = 2$

## pattern, occurrence, factor

- ▶ An occurrence  $y$  of a pattern  $p$  forms a **factor**

Example :  $p = ABA$

$y = (A = 00; B = 1) \rightarrow$  forms the factor 00100

## pattern, occurrence, factor

- ▶ An occurrence  $y$  of a pattern  $p$  forms a **factor**

Example :  $p = ABA$

$y = (A = 00; B = 1) \rightarrow$  forms the factor 00100

$y = (A = 0; B = 010) \rightarrow$  forms the factor 00100

## Preliminary result

**doubled** pattern: every variable appears at least twice.

**balanced** pattern: every variable appears both in the prefix and the suffix of length  $\lfloor \frac{|p|}{2} \rfloor$ .

### Proposition

*For every pattern  $p$  on  $k$  variables and every  $a \geq 2$ , if  $|p| \geq a \times 2^{k-1}$ , then  $p$  contains a balanced pattern  $p'$  with  $k' \geq 1$  variables such that  $|p'| \geq a \times 2^{k'-1}$ .*



## Proof by contradiction

Let  $p$  be pattern over  $k \geq 4$  variables

1. If  $|p| \geq 2^k$ , then  $\lambda(p) \leq 3$
2. If  $|p| \geq 3 \times 2^{k-1}$ , then  $\lambda(p) = 2$

We show that:

If  $|p| \geq 3 \times 2^{k-1}$  and  $p$  is doubled, then  $\lambda(p) = 2$ .

Suppose that  $p$  is a doubled pattern with  $k$  variables,  $|p| \geq 3 \times 2^{k-1}$ , and  $\lambda(p) > 2$ .

$\Rightarrow$  There exists  $n$  such that every word in  $\Sigma_2^n$  contains  $p$ .

# Algorithm

---

## Algorithm 1: AVOIDP

---

**Input** :  $V = \{0, 1\}^t$ .

**Output:**  $w$  (a word avoiding  $p$ ) and  $R$  (a data-structure recording stuff).

```
1  $w \leftarrow \varepsilon$ 
2  $R \leftarrow \emptyset$ 
3 for  $i \leftarrow 1$  to  $t$  do
4   Append  $V[i]$  to  $w$ 
5   Record in  $R$  that a letter has been added to  $w$ 
6   if  $w$  contains an occurrence  $y$  of  $p$  then
7     Record  $y$  in  $R$ 
8     Erase the factor  $f$  corresponding to  $y$  in  $w$ 
9     Record in  $R$  that  $|y|$  letters have been erased in  $w$ 
10 return  $w, R$ 
```

---

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$

- ▶  $w_0 = \varepsilon$

- ▶  $R_0 = \begin{cases} D & = \varepsilon \\ L & = [] \\ X & = \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$   
          ↑

▶  $w_1 = 0$

▶  $R_1 = \begin{cases} D & = & 0 \\ L & = & [] \\ X & = & \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$   
          ↑

- ▶  $w_2 = 00$

- ▶  $R_2 = \begin{cases} D & = & 00 \\ L & = & [] \\ X & = & \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, \underset{\uparrow}{1}, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$

- ▶  $w_3 = 001$

- ▶  $R_3 = \begin{cases} D & = 000 \\ L & = [] \\ X & = \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$   
                                  ↑

- ▶  $w_4 = 0010$

- ▶  $R_4 = \begin{cases} D & = & 0000 \\ L & = & [] \\ X & = & \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, \underset{\uparrow}{1}, 1, 0]$

▶  $w_{24} = 001001100111001101110001$

▶  $R_{24} = \begin{cases} D = 000000000000000000000000 = 0^{24} \\ L = [] \\ X = \varepsilon \end{cases}$



# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, \underset{\uparrow}{1}, 0]$

▶  $w_{25} = 001001100111001101110001\mathbf{1}$

▶  $R_{25} = \begin{cases} D = 000000000000000000000000\mathbf{0} = 0^{25} \\ L = [] \\ X = \varepsilon \end{cases}$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, \underset{\uparrow}{1}, 0]$

▶  $w_{25} = 0010 \underbrace{011001110011011100011}_{\ell=21}$

▶  $R_{25} = \begin{cases} D = 0000000000000000000000000 = 0^{25} \\ L = [] \\ X = \varepsilon \end{cases}$

Occurrence  $y = (A = 01; B = 1; C = 100)$  of  $p$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, \underset{\uparrow}{1}, 1, 0]$

▶  $w_{25} = 0010\cancel{01100}1\cancel{1100}11\cancel{011100}011$

▶  $R_{25} = \begin{cases} D = 0^{25}1^{21} \\ L = [\{|A|; |A \cdot B|\}] \\ X = A \cdot B \cdot C \end{cases}$

Occurrence  $y = (A = 01; B = 1; C = 100)$  of  $p$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, \underset{\uparrow}{1}, 0]$

▶  $w_{25} = 0010\cancel{011001110011011100011} = 0010$

▶  $R_{25} = \begin{cases} D & = 0^{25}1^{21} \\ L & = [\{|A|; |A \cdot B|\}] = [\{2, 3\}] \\ X & = A \cdot B \cdot C \end{cases}$

Occurrence  $y = (A = 01; B = 1; C = 100)$  of  $p$

# Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, \underset{\uparrow}{1}, 0]$

- ▶  $w_{25} = 0010$

$$\text{▶ } R_{25} = \begin{cases} D & = 0^{25}1^{21} \\ L & = [\{2, 3\}] \\ X & = \mathbf{011100} \end{cases}$$

## Execution

- ▶  $k = 3$
- ▶ Arbitrary order on the variables :  $(A, B, C)$
- ▶  $p = ACBBCBBABCAB$
- ▶  $V = [0, 0, 1, 0, 0, 1, 1, 0, 0, 1, 1, 1, 0, 0, 1, 1, 0, 1, 1, 1, 0, 0, 0, 1, 1, 0]$   
↑
  
  
- ▶  $w_{26} = 00100$
  
- ▶  $R_{26} = \begin{cases} D & = 0^{25}1^{21}0 \\ L & = [\{2, 3\}] \\ X & = 011100 \end{cases}$

## Sketch of proof

- ▶  $\mathcal{V}$  : set of entry vectors  $V = \{0, 1\}^t$
- ▶  $\mathcal{R}$  : set of records  $R$  produced by the algorithm
- ▶  $\mathcal{O}$  : set of couples  $(w, R)$  produced by the algorithm

We have :

- ▶  $|w_t| \leq n \Rightarrow 2^n$  possible words
- ▶  $|\mathcal{V}| = 2^t$
- ▶  $|\mathcal{O}| \leq 2^n \times |\mathcal{R}|$

We will show that :

- ▶  $|\mathcal{V}| \leq |\mathcal{O}|$
- ▶  $|\mathcal{R}| = o(2^t)$
- ▶  $2^t = |\mathcal{V}| \leq |\mathcal{O}| \leq 2^n \times |\mathcal{R}| = o(2^t) \rightarrow$  Contradiction

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

Lemme

*After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .*

Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$



Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

*After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .*

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0

$$\begin{array}{l} p = ACBBCBBABCAB, \text{ variable order : } (A, B, C) \\ w_{24} = 001001100111001101110001 \\ R_{24} = \begin{cases} D = 000000000000000000000000 = 0^{24} \\ L = [] \\ X = [] \end{cases} \end{array}$$

By induction,  $(R_{i-1}, w_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0

$$\begin{array}{l} p = ACBBCBBABCAB, \text{ variable order : } (A, B, C) \\ w_{23} = 00100110011100110111000\cancel{1} \\ R_{23} = \begin{cases} D = 00000000000000000000000\emptyset = 0^{23} \\ L = [] \\ X = [] \end{cases} \end{array}$$

By induction,  $(R_{i-1}, w_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$p = ACBBCBBABCAB$ , variable order : $(A, B, C)$
$w_{25} = 0010$
$R_{25} = \begin{cases} D = & 0^{24}01^{21} \\ L = & [\{2, 3\}] \\ X = & [011100] \end{cases}$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$p = ACBBCBBABCAB$ , variable order : $(A, B, C)$
$w_{25} = 0010$
$R_{25} = \begin{cases} D = 0^{24}01^{21} \\ L = [\{2, 3\}] &  A =2 \quad  B =1 \quad  C  = \frac{21-3 \times 2 - 6 \times 1}{3} = 3 \\ X = [011100] \end{cases}$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$p = ACBBCBBABCAB$ , variable order : $(A, B, C)$
$w_{25} = 0010$
$R_{25} = \begin{cases} D = 0^{24}01^{21} \\ L = [\{2, 3\}] &  A =2 \quad  B =1 \quad  C  = \frac{21-3 \times 2 - 6 \times 1}{3} = 3 \\ X = [011100] \end{cases}$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$p = ACBBCBBABCAB$ , variable order : $(A, B, C)$ $w_{24} = 0010011001110011011100011$ $R_{24} = \begin{cases} D = 0^{24}012^1 \\ L = [\{2, 3\}] &  A =2 \quad  B =1 \quad  C  = \frac{21-3 \times 2 - 6 \times 1}{3} = 3 \\ X = [011100] \end{cases}$
--

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$$\begin{aligned} p &= ACBBCBBABCAB, \text{ variable order : } (A, B, C) \\ w_{24} &= 001001100111001101110001\cancel{1} \\ R_{24} &= \begin{cases} D = 0^{24}\emptyset \\ L = [\{2, 3\}] & |A|=2 \quad |B|=1 \quad |C|=\frac{21-3 \times 2 - 6 \times 1}{3} = 3 \\ X = [011100] \end{cases} \end{aligned}$$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$



Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$p = ACBBCBBABCAB$ , variable order : $(A, B, C)$
$w_{24} = 001001100111001101110001$
$R_{24} = \begin{cases} D = 0^{24} \\ L = [] \\ X = [] \end{cases}$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Let us show that  $|\mathcal{V}| \leq |\mathcal{O}|$

### Lemme

After  $i$  steps,  $V_i$  can be recovered from the couple  $(w_i, R_i)$ .

### Proof

- ▶ Step 0 :  $w_0 = \varepsilon$ ,  $R_0 = (\varepsilon, [], [])$ ,  $V_0 = \varepsilon$
- ▶ Step  $i$  :
  - ▶ If  $D$  ends with 0
  - ▶ If  $D$  ends with  $01^\ell$

$$\begin{aligned} p &= ACBBCBBABCAB, \text{ variable order : } (A, B, C) \\ w_{24} &= 0010\mathbf{01}1\mathbf{00}1\mathbf{11}0\mathbf{0}1\mathbf{11}0\mathbf{11}1\mathbf{00}0\mathbf{1} \\ R_{24} &= \begin{cases} D = 0^{24} \\ L = [] \\ X = [] \end{cases} \end{aligned}$$

By induction,  $(w_{i-1}, R_{i-1})$  gives  $V_{i-1}$ .

$$V_i = V_{i-1} \cdot V[i]$$

Distinct entry vectors produce distinct outputs  $(w, R)$ .

We show that  $|\mathcal{R}| = o(2^t)$

Keep in mind :

- ▶  $R = R_t = (D, L, X)$
- ▶  $|\mathcal{R}| \leq |\mathcal{D}| \times |\mathcal{L}| \times |\mathcal{X}|$
- ▶  $t$  letters are added,  $t - |w_t|$  letters are erased
- ▶ Let  $m$  be the number erased factors
- ▶  $(f_i)_{1 \leq i \leq m}$  is the set of  $m$  erased factors
- ▶  $|f_i| \geq 3 \times 2^{k-1}$
- ▶  $\sum_{1 \leq i \leq m} |f_i| = t - |w_t| \leq t$

## Analysis of $\mathcal{D}$

- ▶  $|D| = t + t - |w_t| = 2t - n$
- ▶  $D$  is a partial Dyck word.
- ▶ The length of a descent (consecutive 1's) is  $\geq 3 \times 2^{k-1}$ .
- ▶  $C_{t,d}$ : number of Dyck words of length  $2t$  with descents of length  $\geq d$ .

Let  $\phi_d(x) = 1 + \sum_{i \geq d} x^i = 1 + \frac{x^d}{1-x}$ .

### Lemme (Esperet & Parreau, 2013)

*Let  $d$  be an integer such that the equation  $\phi_d(x) - x\phi'_d(x) = 0$  has a solution  $\tau$  with  $0 < \tau < r$ , where  $r$  is the radius of convergence of  $\phi_d$ . Then  $\tau$  is the unique solution of the equation in the open interval  $(0, r)$ . Moreover, there exists a constant  $c_d$  such that  $C_{t,d} \leq c_d \gamma_d^t t^{-\frac{3}{2}}$  where  $\gamma_d = \phi'_d(\tau) = \frac{\phi_d(\tau)}{\tau}$ .*

## Analysis of $\mathcal{D}$

- ▶  $|D| = t + t - |w_t| = 2t - n$
  - ▶  $D$  is a partial Dyck word.
  - ▶ The length of a descent (consecutive 1's) is  $\geq 3 \times 2^{k-1}$ .
  - ▶  $C_{t,d}$  : number of Dyck words of length  $2t$  with descents of length  $\geq d$ .
- 
- ▶  $|\mathcal{D}| \leq 1.27575^t$  if  $d \geq 24$
  - ▶  $|\mathcal{D}| \leq 1.15685^t$  if  $d \geq 48$
  - ▶  $|\mathcal{D}| \leq 1.08603^t$  if  $d \geq 100$

## Analysis of $\mathcal{X}$

- ▶ For every erased factor  $f_i$ , we add at most  $\left\lfloor \frac{|f_i|}{2} \right\rfloor$  letters to  $X$
- ▶  $|X| \leq \left\lfloor \frac{|f_1|}{2} \right\rfloor + \left\lfloor \frac{|f_2|}{2} \right\rfloor + \dots + \left\lfloor \frac{|f_m|}{2} \right\rfloor \leq \frac{t}{2}$
- ▶  $|\mathcal{X}| \leq 2^{\frac{t}{2}}$

## Analysis of $\mathcal{L}$

- ▶  $\{A, B, C, \dots\}$

## Analysis of $\mathcal{L}$

- ▶  ~~$\{A, B, C, \dots\}$~~   $\{A_1, A_2, \dots, A_k\}$



## Analysis of $\mathcal{L}$

- ▶  ~~$\{A, B, C, \dots\}$~~   $\{A_1, A_2, \dots, A_k\}$
- ▶  $L = \{L_1, L_2, \dots, L_m\}$
- ▶ Every  $L_i$  in  $L$  corresponds to an erased factor  $f_i$
- ▶  $L_i = \{|A_1|, |A_1 \cdot A_2|, \dots, |A_1 \cdot A_2 \cdot \dots \cdot A_{k-1}|\}$
- ▶  $h_k(\ell)$  : number of  $(k - 1)$ -sets corresponding to a factor of length  $\ell$
- ▶  $|\mathcal{L}| \leq h_k(|f_1|) \times h_k(|f_2|) \times \dots \times h_k(|f_m|)$
- ▶  $g_k(\ell) = h_k(\ell)^{\frac{1}{k}}$
- ▶  $|\mathcal{L}| \leq g_k(|f_1|)^{|f_1|} \times g_k(|f_2|)^{|f_2|} \times \dots \times g_k(|f_m|)^{|f_m|}$
  
- ▶ If we show that  $g_k(\ell) \leq c$ , then  
 $|\mathcal{L}| \leq c^{|f_1|} \times c^{|f_2|} \times \dots \times c^{|f_m|} \leq c^t$

## Bound on $g_k(\ell)$ for $k = 4, \ell \geq 100$ or $k \geq 5, \ell \geq 48$

$$\blacktriangleright L_i = \left\{ \underbrace{|A_1|}_{\geq 1}, |A_1 \cdot A_2|, |A_1 \cdot A_2 \cdot A_3|, \dots, \underbrace{|A_1 \cdot A_2 \cdot \dots \cdot A_{k-1}|}_{\leq \lfloor \frac{|f_i|}{2} \rfloor} \right\}$$

$\blacktriangleright L_i$  is a  $(k - 1)$ -set of distinct integers between 1 and  $\lfloor \frac{|f_i|}{2} \rfloor$

$$\blacktriangleright h_k(\ell) \leq \binom{\lfloor \frac{\ell}{2} \rfloor}{k-1} \Rightarrow g_k(\ell) \leq \left( \binom{\lfloor \frac{\ell}{2} \rfloor}{k-1} \right)^{\frac{1}{\ell}}$$

$$\blacktriangleright g_k(\ell) \leq \overline{g}_k(\ell) = \left( \frac{\left( \lfloor \frac{\ell}{2} \rfloor \right)^{k-1}}{(k-1)!} \right)^{\frac{1}{\ell}} \quad (\text{decreasing for } \ell \geq 3 \times 2^{k-1})$$

$$\blacktriangleright \forall \ell \geq 100, \overline{g}_4(\ell) \leq \overline{g}_4(100) \leq 1.10456$$

$$\blacktriangleright \forall k \geq 5, \forall \ell \geq 48, \overline{g}_k(\ell) \leq \overline{g}_5(48) \leq 1.21973$$

## Bound on $g_4(\ell)$ for $24 \leq \ell \leq 99$

- ▶  $k = 4$ . Variables :  $A_1, A_2, A_3, A_4$ .
- ▶  $a_i$  : # appearance of  $A_i$  in  $p$ .  $a_i \geq 2$ .
- ▶  $\sum a_i = |p|$
- ▶  $L_i = \{|A_1|, |A_1 \cdot A_2|, |A_1 \cdot A_2 \cdot A_3|\}$ . Gives  $\{\ell_1, \ell_2, \ell_3, \ell_4\}$
- ▶  $\mathcal{A}_{|p|} = \sum_{i \geq |p|} b_i x^i$  (generating function)

$b_i$  : # 4-uplets  $(\ell_1, \ell_2, \ell_3, \ell_4)$  with  $\ell_i \geq 1$   
such that  $\mathbf{a}_1 \times \ell_1 + \mathbf{a}_2 \times \ell_2 + \mathbf{a}_3 \times \ell_3 + \mathbf{a}_4 \times \ell_4 = i$   
By definition :  $h_4(\ell) = b_\ell$ , and then  $g_4(\ell) = (b_\ell)^{\frac{1}{\ell}}$ .

# Pennies, nickels, dimes, quarters, and half dollars

▶  $\mathcal{C} = \sum_{i \geq 1} c_i x^i$  ( $c_i$  : number of ways to change  $i$  cents)

▶  $\mathcal{C} = \frac{1}{1-x} \times \frac{1}{1-x^2} \times \frac{1}{1-x^5} \times \frac{1}{1-x^{10}} \times \frac{1}{1-x^{20}} \times \frac{1}{1-x^{50}}$

▶ In our case :

- ▶ Four coins with (possibly the same) values  $a_i$
- ▶ Every coin appears at least once.

▶  $\mathcal{A}_{|p|} = \sum_{i \geq |p|} b_i x^i = \frac{x^{a_1}}{1-x^{a_1}} \times \frac{x^{a_2}}{1-x^{a_2}} \times \frac{x^{a_3}}{1-x^{a_3}} \times \frac{x^{a_4}}{1-x^{a_4}}$

## Bound on $g_4(\ell)$ for $24 \leq \ell \leq 100$

- ▶  $k = 4$ . Variables :  $A_1, A_2, A_3, A_4$ .
- ▶  $a_i$  : # of appearance of  $A_i$  in  $p$ .  $a_i \geq 2$ .  $\sum a_i = |p|$
- ▶  $L_i = \{|A_1|, |A_1 \cdot A_2|, |A_1 \cdot A_2 \cdot A_3|\}$ . Gives  $\{\ell_1, \ell_2, \ell_3, \ell_4\}$
- ▶  $\mathcal{A}_{|p|} = \sum_{i \geq |p|} b_i x^i$  (generating function)

$b_i$  : # 4-uplets  $(\ell_1, \ell_2, \ell_3, \ell_4)$  with  $\ell_i \geq 1$

such that  $a_1 \times \ell_1 + a_2 \times \ell_2 + a_3 \times \ell_3 + a_4 \times \ell_4 = i$

By definition :  $h_4(\ell) = b_\ell$  and so  $g_4(\ell) = (b_\ell)^{\frac{1}{\ell}}$ .

- ▶  $\mathcal{A}_{|p|} = \frac{x^{a_1}}{1 - x^{a_1}} \times \frac{x^{a_2}}{1 - x^{a_2}} \times \frac{x^{a_3}}{1 - x^{a_3}} \times \frac{x^{a_4}}{1 - x^{a_4}}$
- ▶ For all  $24 \leq |p| \leq 99$  and all  $(a_1, a_2, a_3, a_4)$  such that  $\sum a_i = |p|$ , Maple computes  $\mathcal{A}_{|p|} = b_{24}x^{24} + b_{25}x^{25} + \dots + b_{99}x^{99} + O(x^{100})$ .
- ▶  $(b_i)^{\frac{1}{i}}$  max for  $|p| = 24$ ,  $(a_1, a_2, a_3, a_4) = (2, 2, 2, 18)$ ,  $i = 46$   
:  $b_{46} = 84$

there are 84 4-uplets which correspond to an occurrence  $f$  of a pattern  $p$ , such that  $|f| = 46$  and  $|p| = 24$

- ▶  $g_4(\ell) \leq 84^{\frac{1}{46}} < 1.10112$

## Analyse of $\mathcal{L}$

- ▶  $g_4(\ell) < 1.10112$  for  $24 \leq \ell \leq 99$
  - ▶  $\forall \ell \geq 100, g_4(\ell) \leq 1.10456$
  - ▶  $\forall k \geq 5, \forall \ell \geq 48, g_k(\ell) \leq 1.21973$
- 
- ▶ If we show that  $g_k(\ell) \leq c$  then  
 $|\mathcal{L}| \leq c^{|\mathcal{f}_1|} \times c^{|\mathcal{f}_2|} \times \dots \times c^{|\mathcal{f}_m|} \leq c^t$
  - ▶  $|\mathcal{L}| \leq (1.10456)^t$  if  $k = 4$
  - ▶  $|\mathcal{L}| \leq (1.21973)^t$  if  $k \geq 5$

We show that  $|\mathcal{R}| = o(2^t)$

- ▶  $|\mathcal{R}| \leq |\mathcal{D}| \times |\mathcal{L}| \times |\mathcal{X}|$
- ▶ If  $k \geq 5$ :  $|\mathcal{R}| \leq (1.15685 \times 1.21973 \times \sqrt{2})^t = o(2^t)$
- ▶ If  $k = 4$ :  $|\mathcal{R}| \leq (1.27575 \times 1.10456 \times \sqrt{2})^t = o(2^t)$

$$2^t = |\mathcal{V}| \leq |\mathcal{O}| \leq 2^n \times |\mathcal{R}| = o(2^t)$$

We have shown that :

- ▶  $|\mathcal{V}| \leq |\mathcal{O}|$
- ▶  $|\mathcal{R}| = o(2^t)$

And so :

- ▶  $2^t = |\mathcal{V}| \leq |\mathcal{O}| \leq 2^n \times |\mathcal{R}| = o(2^t)$



# Questions

- ▶ Is every doubled pattern 3-avoidable ?  
remaining cases :  $k = 4$  and  $k = 5$ .
- ▶ Is there a  $k$  such that every doubled pattern on at least  $k$  variables is 2-avoidable ?  
Such a  $k$  is at least 5 since  $\lambda(ABCCBADD) = 3$ .