

An update on polytopes with many symmetries

Marston Conder

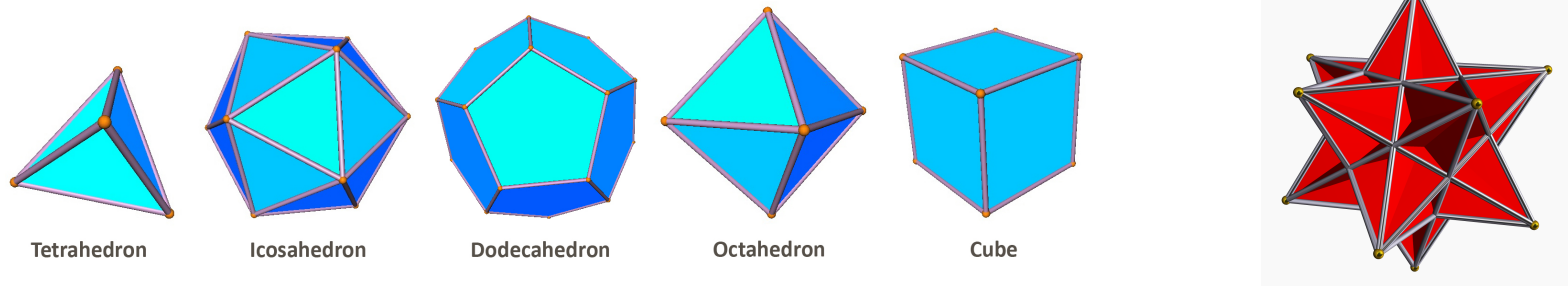
University of Auckland

`m.conder@auckland.ac.nz`

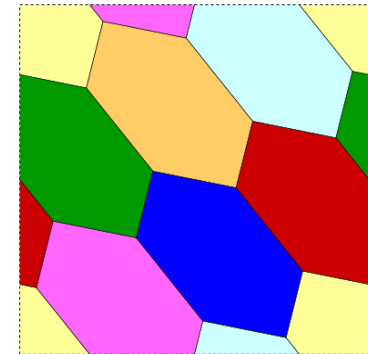
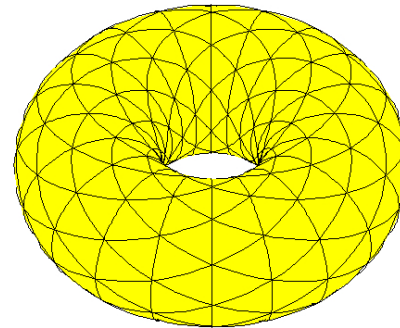
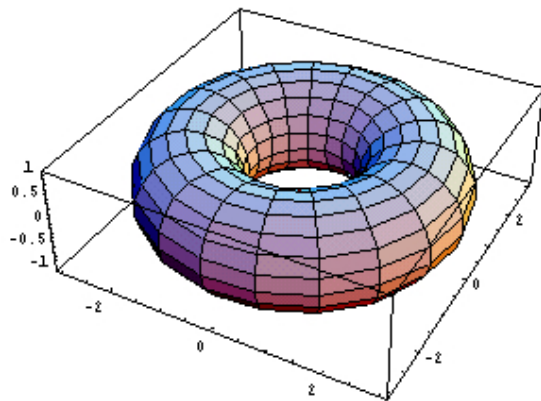
Polytopes

A **polytope** is a geometric structure with vertices, edges, and (usually) other elements of higher rank, and **with some degree of uniformity and symmetry**.

There are many different kinds of polytope, including both **convex** polytopes like the Platonic solids, and non-convex **'star'** polytopes:



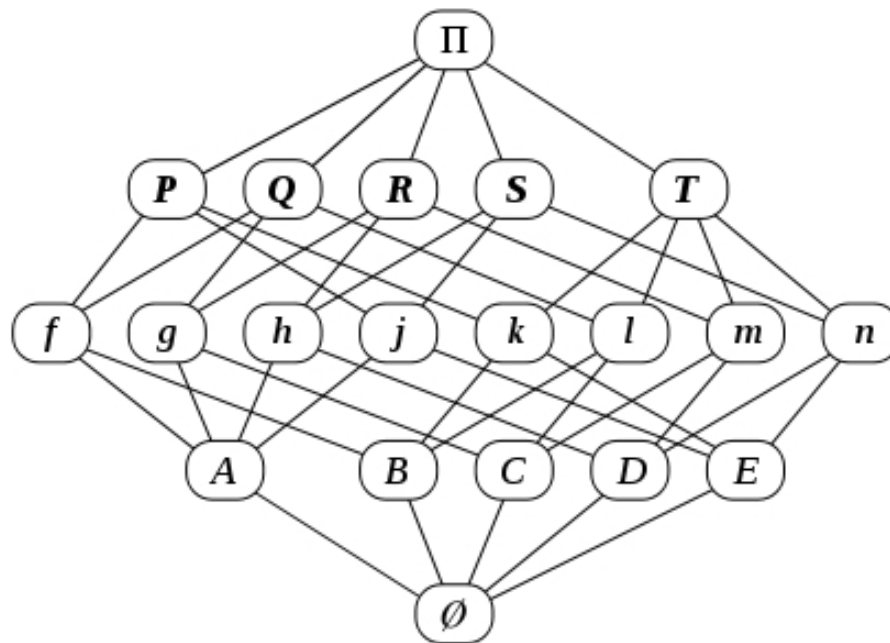
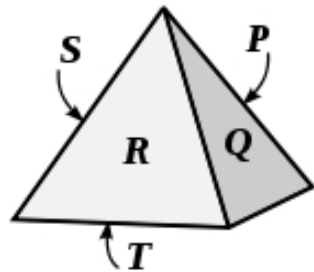
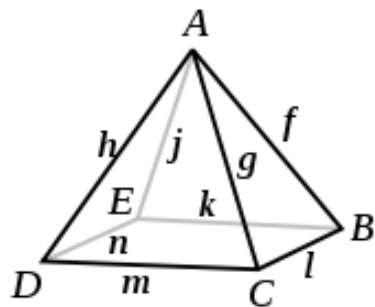
... as well as examples of rank 2, known as **maps**:



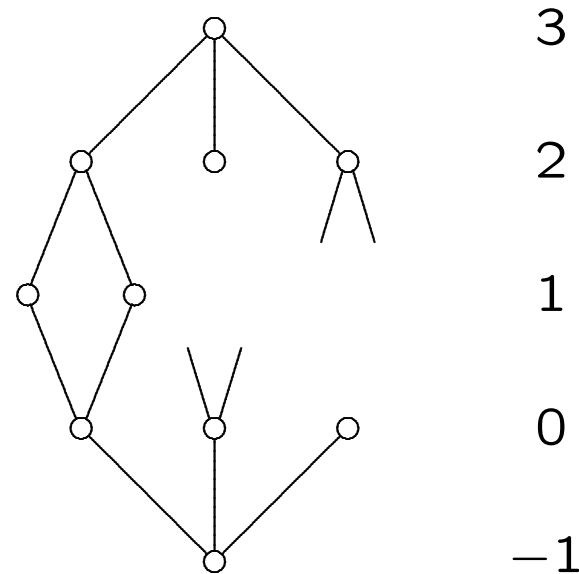
[Examples on every orientable surface of genus $g > 1$, and on non-orientable surfaces of genus p for infinitely many $p > 2$]

Abstract polytopes

An abstract polytopes is a generalised form of polytope, considered as a partially ordered set:



Definition



An **abstract polytope** of rank n is a partially ordered set \mathcal{P} endowed with a strictly monotone rank function having range $\{-1, \dots, n\}$. For $-1 \leq j \leq n$, elements of \mathcal{P} of rank j are called the **j -faces**, and a typical j -face is denoted by F_j .

This poset must satisfy certain combinatorial conditions which **generalise the properties of geometric polytopes**.

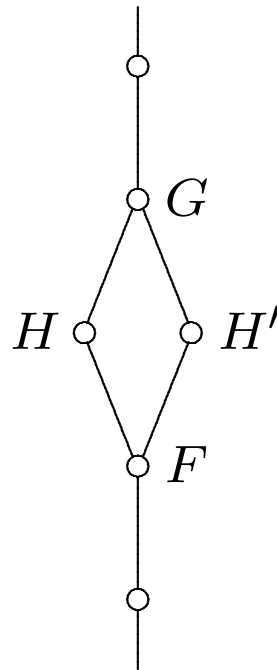
We require that \mathcal{P} has a smallest (-1) -face F_{-1} , and a greatest n -face F_n , and that each maximal chain (or **flag**) of \mathcal{P} has length $n+2$, e.g. $F_{-1} - F_0 - F_1 - F_2 - \dots - F_{n-1} - F_n$.

The faces of rank 0, 1 and $n-1$ are called the **vertices**, **edges** and **facets** (or **co-vertices**) of the polytope, respectively.

Two flags are called **adjacent** if they differ by just one face.

We require that \mathcal{P} is **strongly flag-connected**, that is, any two flags Φ and Ψ of \mathcal{P} can be joined by a sequence of flags $\Phi = \Phi_0, \Phi_1, \dots, \Phi_k = \Psi$ such that each two successive faces Φ_{i-1} and Φ_i are adjacent, and $\Phi \cap \Psi \subseteq \Phi_i$ for all i .

Finally, we require the following homogeneity property, which is often called the **diamond condition**:



Whenever $F \leq G$, with $\text{rank}(F) = j-1$ and $\text{rank}(G) = j+1$, there are **exactly two** faces H of rank j such that $F \leq H \leq G$.

Symmetries of abstract polytopes

An **automorphism** of an abstract polytope \mathcal{P} is an order-preserving bijection $\mathcal{P} \rightarrow \mathcal{P}$.

Just as for maps (on surfaces), **every automorphism is uniquely determined by its effect on any given flag.**

Regular polytopes

The number of automorphisms of an abstract polytope \mathcal{P} is bounded above by the number of flags of \mathcal{P} .

When the upper bound is attained, we say that \mathcal{P} is regular:

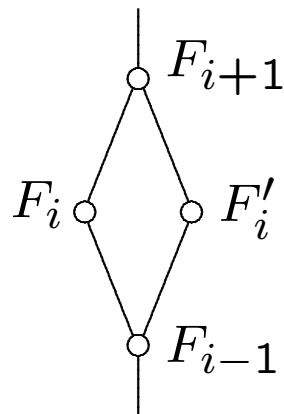
An abstract polytope \mathcal{P} is regular if its automorphism group $\text{Aut } \mathcal{P}$ is transitive (and hence regular) on the flags of \mathcal{P} .

Involutory 'swap' automorphisms

Let \mathcal{P} be a **regular** abstract polytope, and let Φ be any flag $F_{-1} - F_0 - F_1 - F_2 - \dots - F_{n-1} - F_n$. Call this the **base flag**.

For $0 \leq i \leq n-1$, **there is an automorphism ρ_i that maps Φ to the adjacent flag Φ^i** (differing from Φ only in its i -face).

Then also ρ_i also takes Φ^i to Φ (by the diamond condition), so ρ_i **swaps Φ with Φ^i** , hence ρ_i^2 fixes Φ , so ρ_i **has order 2**:



... ρ_i swaps F_i with F'_i
and fixes every other F_j

Connection with Coxeter groups

The automorphism group of any regular polytope \mathcal{P} of rank n is generated by the ‘swap’ automorphisms $\rho_0, \rho_1, \dots, \rho_{n-1}$, which satisfy the following relations

- $\rho_i^2 = 1$ for $0 \leq i \leq n-1$,
- $(\rho_{i-1}\rho_i)^{k_i} = 1$ for $1 \leq i \leq n-1$,
- $(\rho_i\rho_j)^2 = 1$ for $0 \leq i < i+1 < j \leq n-1$.

These are precisely the **defining relations** for the **Coxeter group** $[k_1, k_2, \dots, k_{n-1}]$ (with Schläfli symbol $\{k_1, k_2, \dots, k_{n-1}\}$). In particular, $\text{Aut } \mathcal{P}$ is a quotient of this Coxeter group.

We usually call $\{k_1, k_2, \dots, k_{n-1}\}$ the **type** of the polytope.

Example: the **cube** (a 3-polytope of type $\{3, 4\}$)



$\text{Aut}(Q_3) \cong S_4 \times C_2$ is a quotient of the $[3, 4]$ Coxeter group

Stabilizers and cosets

$$\begin{aligned}\text{Stab}_{\text{Aut } \mathcal{P}}(F_0) &= \langle \rho_1, \rho_2, \rho_3, \dots, \rho_{n-2}, \rho_{n-1} \rangle \\ \text{Stab}_{\text{Aut } \mathcal{P}}(F_1) &= \langle \rho_0, \rho_2, \rho_3, \dots, \rho_{n-2}, \rho_{n-1} \rangle \\ \text{Stab}_{\text{Aut } \mathcal{P}}(F_2) &= \langle \rho_0, \rho_1, \rho_3, \dots, \rho_{n-2}, \rho_{n-1} \rangle \\ &\vdots \\ \text{Stab}_{\text{Aut } \mathcal{P}}(F_{n-2}) &= \langle \rho_0, \rho_1, \rho_2, \dots, \rho_{n-3}, \rho_{n-1} \rangle \\ \text{Stab}_{\text{Aut } \mathcal{P}}(F_{n-1}) &= \langle \rho_0, \rho_1, \rho_2, \dots, \rho_{n-3}, \rho_{n-2} \rangle\end{aligned}$$

As \mathcal{P} is flag-transitive, $\text{Aut } \mathcal{P}$ acts transitively on i -faces for all i , so i -faces can be labelled with cosets of $\text{Stab}_{\text{Aut } \mathcal{P}}(F_i)$, for all i , and incidence is given by non-empty intersection.

Also this can be reversed, giving a construction for regular polytopes from smooth quotients of (string) Coxeter groups, as for regular maps, but under certain extra assumptions ...

The Intersection Condition

When \mathcal{P} is regular, the generators ρ_i for $\text{Aut } \mathcal{P}$ satisfy an extra condition known as the **intersection condition**, namely

$$\langle \rho_i : i \in I \rangle \cap \langle \rho_i : i \in J \rangle = \langle \rho_i : i \in I \cap J \rangle$$

for every two subsets I and J of the index set $\{0, 1, \dots, n-1\}$.

Conversely, this condition on generators $\rho_0, \rho_1, \dots, \rho_{n-1}$ of a quotient of a Coxeter group $[k_1, k_2, \dots, k_{n-1}]$ **ensures the diamond condition and strong flag connectedness**. Hence:

If G is a finite group generated by n elements $\rho_0, \rho_1, \dots, \rho_{n-1}$ which satisfy the defining relations for a string Coxeter group of rank n , with orders of the ρ_i and products $\rho_i \rho_j$ preserved, and these generators ρ_i satisfy the intersection condition, then there exists a regular polytope \mathcal{P} with $\text{Aut } \mathcal{P} \cong G$.

Chiral polytopes

If the automorphism group $\text{Aut } \mathcal{P}$ has two orbits on flags, such that adjacent flags always lie in different orbits, then the polytope \mathcal{P} is said to be **chiral**. (This is like a chiral map: if f and f' are the two faces containing a given arc (v, e) , then the flags (v, e, f) and (v, e, f') lie in different orbits.)

The automorphism group of every chiral n -polytope \mathcal{P} is a smooth quotient of the **orientation-preserving subgroup** $[k_1, k_2, \dots, k_{n-1}]^+ = \langle \rho_0 \rho_1, \rho_1 \rho_2, \dots, \rho_{n-2} \rho_{n-1} \rangle$ of the relevant Coxeter group $[k_1, k_2, \dots, k_{n-1}]$, and $\{k_1, k_2, \dots, k_{n-1}\}$ is its type. There is also an **analogue of the intersection condition**, which can be used to construct examples.

Construction of small regular polytopes

All 'small' regular polytopes can be found/constructed via their automorphism groups, which are (smooth) quotients of string Coxeter groups $[k_1, k_2, \dots, k_{n-1}]$.

Michael Hartley used the [database of small finite groups](#) to find all regular polytopes with N flags, where $1 \leq N \leq 2000$ but $N \neq 1024, 1536$. See www.abstract-polytopes.com/atlas.

This approach, however, is limited by the database, and the very large numbers of 2-groups and $\{2, 3\}$ -groups of small order. A much more effective approach is to use 'low index subgroup' methods (applied to the Coxeter groups).

Atlas of small chiral and regular polytopes

[Joint work with Dimitri Leemans (2012/13)]

We now have complete lists of **all regular polytopes with up to 4000 flags** and **all chiral polytopes with up to 4000 flags**.

These come from an initial computation for rank 3, and then increasing ranks, using the following consequence of the intersection condition:

if \mathcal{P} is a regular/chiral polytope of type $\{k_1, k_2, \dots, k_{n-1}\}$, and its facets have m flags, then \mathcal{P} has at least mk_{n-1} flags.

Up to 4000 flags, **the largest rank for regular is 6**, and **the largest rank for chiral is 5**. [Website yet to be created.]

The smallest regular polytopes

For each $n \geq 3$, what are the regular n -polytopes with the smallest numbers of flags? [Daniel Pellicer (Oaxaca, 2010)]

Answer [MC (*Adv. Math.* (2013), described at Fields (2011)]

For every $n \geq 9$, the smallest regular n -polytope is a unique polytope of type $\{4, n-1, 4\}$, with $2 \cdot 4^{n-1}$ flags. The smallest ones are known (exactly) also for $n \leq 8$.

Lemma: If \mathcal{P} is a regular n -polytope, of type $\{k_1, \dots, k_{n-1}\}$, then $\#$ of flags of $\mathcal{P} = |\text{Aut}(\mathcal{P})| \geq 2k_1k_2 \dots k_{n-1}$.

If this lower bound is attained, we say that \mathcal{P} is **tight**.

Tight regular polytopes

If \mathcal{P} is a tight regular polytope of type $\{k_1, \dots, k_{n-1}\}$, then every regular sub-polytope of \mathcal{P} is tight.

Also if $n \geq 3$ then $2k_1k_2 \dots k_{n-1} = |\text{Aut}(\mathcal{P})|$ is even (since two of the generators of $\text{Aut}(\mathcal{P})$ are commuting involutions), so at least one k_i is even, indeed no two consecutive k_i can be odd. [Observations made by Gabe Cunningham]

Theorem [GC (2013)] If m and k are not both odd, then there exists a tight regular 3-polytope of type $\{m, k\}$.

Gabe also conjectured that if k is odd and $m > 2k$ (or vice versa), there is no tight regular 3-polytope of type $\{m, k\}$.

Tight regular polytopes (cont.)

Theorem [MC & GC (2013)] There exists a tight regular 3-polytope of type $\{m, k\}$ if and only if

- m and k are both even, or
- m is odd and k divides $2m$, or k is odd and m divides $2k$.

The proof relies on a connection with some work by MC and Tom Tucker on regular Cayley maps for cyclic groups, or more generally, on groups having a factorisation $G = AB$ where the subgroups A and B are cyclic and $A \cap B = \{1\}$.

Corollary [MC & GC (2013)] There exists a tight orientable regular polytope of type $\{k_1, \dots, k_{n-1}\}$ if and only if k_j is an even divisor of $2k_i$ whenever k_i is odd and $j = i \pm 1$.

More on the intersection condition

$$\langle \rho_i : i \in I \rangle \cap \langle \rho_i : i \in J \rangle = \langle \rho_i : i \in I \cap J \rangle$$

The intersection condition involves up to $\binom{2^n}{2}$ pairs (I, J) .

Question: How many of these need to be checked?

For some of them, the IC is always satisfied (e.g. if $I \subseteq J$).

For rank 2, we need only check the pair $(I, J) = (\{1\}, \{2\})$, and for that, the IC never fails when the quotient is smooth.

For rank $n > 2$, there are inductive processes for determining a minimal set of pairs that need to be checked (see P. McMullen and E. Schulte, *Abstract Regular Polytopes*, Cambridge (2002)).

The rank 3 case

Rank 3 polytopes are simply **non-degenerate maps** (where non-degeneracy follows the diamond condition).

Theorem: Let G be any finite group generated by three involutions a, b, c such that ab, bc, ac have orders $k, m, 2$, where $k \geq 3$ and $m \geq 3$. Then **either G is the automorphism group of a regular 3-polytope, or G has non-trivial cyclic normal subgroup N (contained in $\langle ab \rangle$ or $\langle bc \rangle$).**

Sketch proof. By smoothness, there is really only one pair (I, J) to check, namely $(\{0, 1\}, \{1, 2\})$. If the IC fails for that pair, then **some non-trivial element of $\langle ab \rangle$ or $\langle bc \rangle$ generates a normal subgroup of G .** □

Corollary 1: If G is a finite simple group, or more generally, has no non-trivial cyclic normal subgroups (e.g. A_n or S_n for some n), then G is the automorphism group of a regular 3-polytope of type $\{m, k\}$ whenever G is a smooth quotient of the $[m, k]$ Coxeter group.

Corollary 2: For every non-negative integer g , there exists a polytopal regular map on an orientable surface of genus g . (In other words, for every such g there exists a fully regular orientable map of genus g that is also a 3-polytope.)

Proof. There exists a family of groups G_n of order $16n$ (for $n \in \mathbb{Z}^+$), with each G_n being a smooth quotient of the $[4, 2n]$ Coxeter group, and they satisfy the IC since they have no cyclic normal subgroups of the kind given by the theorem.

These are ‘Accola-Maclachlan’ maps \mathcal{AM}_n (of genus $n - 1$).

The rank 4 case (Joint work with Deborah Oliveros)

For rank 4, easy observations show there are **just four pairs** (I, J) for which the intersection condition has to be checked:

$$(I, J) = (\{0, 1\}, \{1, 2\}), \text{ as in the rank 3 test;}$$

$$(I, J) = (\{0, 1, 2\}, \{3\});$$

$$(I, J) = (\{0, 1, 2\}, \{2, 3\});$$

$$(I, J) = (\{0, 1, 2\}, \{1, 2, 3\}).$$

For some types, **many (and sometimes all) of these cases can be easily eliminated**. For example, if the type is $\{k_1, k_2, k_3\}$ and the IC fails for $(\{0, 1, 2\}, \{2, 3\})$, then $\langle \rho_0, \rho_1, \rho_2 \rangle \cap \langle \rho_2, \rho_3 \rangle$ is a subgroup of $\langle \rho_2, \rho_3 \rangle \cong D_{k_3}$ strictly containing $\langle \rho_2 \rangle \cong C_2$. So **if k_3 is prime** then this is $\langle \rho_2, \rho_3 \rangle$, and **$\rho_3 \in \langle \rho_0, \rho_1, \rho_2 \rangle$** .

Amazing Theorem 1 (for type $\{3,5,3\}$)

Every smooth homomorphism ψ from the $[3,5,3]$ Coxeter group onto a finite group G gives a regular 4-polytope \mathcal{P} of type $\{3,5,3\}$ with $\text{Aut}(\mathcal{P}) \cong G$, except in precisely one case, where $G = \text{PSL}(2,11) \times C_2$ and the ψ -images $\rho_0, \rho_1, \rho_2, \rho_3$ in G of the standard Coxeter generators satisfy the relation $(\rho_0\rho_1\rho_2)^5 = (\rho_1\rho_2\rho_3)^5$.

The proof shows that the IC holds for all four of the critical pairs (I, J) , except $(\{0,1,2\}, \{1,2,3\})$, for which a failure requires $(\rho_0\rho_1\rho_2)^5 = (\rho_1\rho_2\rho_3)^5$ to be a central involution.

Remarkably, adding that relation to the $[3,5,3]$ Coxeter group gives quotient $\text{PSL}(2,11) \times C_2$, and this is the only possible exception.

Amazing Theorem 2 (for type $\{5,3,5\}$)

Every smooth homomorphism ψ from the $[5,3,5]$ Coxeter group onto a finite group G gives a regular 4-polytope \mathcal{P} of type $\{5,3,5\}$ with $\text{Aut}(\mathcal{P}) \cong G$, except in precisely one case, where $G = \text{PSL}(2, 19) \times C_2$ and the ψ -images $\rho_0, \rho_1, \rho_2, \rho_3$ in G of the standard Coxeter generators satisfy the relation $(\rho_0\rho_1\rho_2)^5 = (\rho_1\rho_2\rho_3)^5$.

Curiously, Dimitri Leemans and Egon Schulte showed in 2007 that the only regular polytopes of rank 4 or more with automorphism group $\text{PSL}(2, q)$ for some q are Grünbaum's 11-cell of type $\{3, 5, 3\}$ for $\text{PSL}(2, 11)$, and Coxeter's 57-cell of type $\{5, 3, 5\}$ for $\text{PSL}(2, 19)$.

There's a similar theorem for $\{4,3,5\}$, with no exceptions.

Corollary: For all but finitely many positive integers n , the alternating group A_n and the symmetric group S_n are automorphism groups of at least one regular 4-polytope of each of the types $\{3, 5, 3\}$, $\{4, 3, 5\}$ and $\{5, 3, 5\}$.

Proof. It is known (MC, Martin & Torstenson (2006)) that all but finitely many A_n are smooth quotients of $[3, 5, 3]$. The same can be shown to hold also for S_n , and for the types $\{4, 3, 5\}$ and $\{5, 3, 5\}$ as well.

Note that this does not hold for the other locally spherical type $\{k_1, k_2, k_3\}$ for which the Coxeter group $[k_1, k_2, k_3]$ is infinite, namely $\{4, 3, 4\}$, because the $[4, 3, 4]$ Coxeter group is solvable, having a free abelian normal subgroup of index 48 with quotient $S_4 \times C_2$. But because of the latter fact, the regular 4-polytopes of type $\{4, 3, 4\}$ are all known.

Obvious question

Is it true that whenever the Coxeter group $[k_1, \dots, k_d]$ is infinite and insoluble, **all but finitely many alternating and symmetric groups are the automorphism group of at least one regular $(d+1)$ -polytope of type $\{k_1, k_2, k_d\}$?**

For rank 3, this is more-or-less known to be true (by work of Everitt (2000) on alternating quotients of Fuchsian groups).

What about higher ranks? [Open question]

Constructions for chiral polytopes

Until 8 years ago, the only known **finite chiral polytopes** had **ranks 3 and 4**. Then Isabel Hubbard, Tomaž Pisanski & MC found some (small) examples of **rank 5**, and then later, Alice Devillers & MC constructed examples of **ranks 6, 7 and 8**.

This was all surpassed spectacularly by the following

Theorem [Daniel Pellicer (2010)]: **For every $d \geq 3$, there exists a finite chiral polytope of rank d .**

It is easy to prove that if \mathcal{P} is a chiral polytope of rank d , then its sub-polytopes of rank $d - 2$ are regular (not chiral), so **a recursive construction is impossible**. Daniel Pellicer's proof involved a **construction for chiral polytopes with prescribed regular facets**. But these can be very large!

Chiral polytopes of type $\{3,3,\dots,3,k\}$

The **regular d -simplex** is a regular polytope of rank d and type $\{3,3,\dots,3\}$, with automorphism group S_{d+1} .

Alice Devillers and MC constructed **chiral polytopes of ranks 6, 7 and 8** with type $\{3,3,\dots,3,k\}$ for various k , having **facets isomorphic to the regular 5-, 6- and 7-simplex** respectively.

These chiral polytopes can be analysed by **expressing the automorphism group as a transitive permutation group**, and then considering the **(sub-)orbits of the stabiliser of a facet**. Since each facet is a regular d -simplex, of type $\{3,3,\dots,3\}$, its stabiliser (in the automorphism group) is the alternating group A_{d+1} . This gives **a way to construct new examples** — namely from **permutation representations of A_{d+1}** .

Theorem [Hubard, O'Reilly-Regueiro, Pellicer & MC]

For all but finitely many n , there exists a chiral 4-polytope \mathcal{P} of type $\{3, 3, k\}$ for some k , with $\text{Aut } \mathcal{P} \cong \text{Alt}(n)$ or $\text{Sym}(n)$.

'Almost' theorem [same people as above]

For all $d \geq 4$, there are infinitely many n for which there exists a chiral d -polytope \mathcal{P} of type $\{3, 3, \dots, 3, k\}$ for some k , with $\text{Aut } \mathcal{P} \cong \text{Alt}(n)$ or $\text{Sym}(n)$.

Conjecture/challenge [MC]

For all $d \geq 4$, 'infinitely many' can be replaced by 'all but finitely many'.

Thank You

Title: An update on polytopes with many symmetries

Speaker: Marston Conder, University of Auckland, N.Z.

Abstract: In this talk I'll give a brief update on some recent discoveries about regular and chiral polytopes, including

- the smallest regular polytopes of given rank
- simplifications/applications of the intersection condition
- computer-assisted determination of all regular and chiral polytopes with up to 4000 flags
- conditions on the Schläfli type $\{p_1, p_2, \dots, p_n\}$ for the existence of a tight regular n -polytope (with $2p_1p_2 \dots p_n$ flags)
- chiral polytopes of type $\{3, 3, \dots, 3, k\}$ (with some A_n or S_n as automorphism group).

Pieces of these involve joint work with Gabe Cunningham, Isabel Hubard, Dimitri Leemans, Deborah Oliveros, Eugenia O'Reilly Regueiro and Daniel Pellicer.