

# Locally compact quantum groups

## 1. Locally compact groups from an (operator) algebra perspective

Matthew Daws

Leeds

Fields, May 2014

# Obligatory non-commutative topology

## Theorem (Gelfand)

Let  $A$  be a unital commutative  $C^*$ -algebra, and let  $\Phi_A$  be the collection of characters on  $A$ , given the relative weak\*-topology. Then  $\Phi_A$  is a compact Hausdorff space, and the map

$$\mathcal{G} : A \rightarrow C(\Phi_A); \quad \mathcal{G}(a)(\varphi) = \varphi(a),$$

is an isometric isomorphism.

Furthermore, a  $*$ -homomorphism  $\theta : A \rightarrow B$  between unital  $C^*$ -algebras is always given by a continuous map  $\phi : \Phi_B \rightarrow \Phi_A$  with

$$\mathcal{G}_B \circ \theta \circ \mathcal{G}_A^{-1}(f) = f \circ \phi \quad (f \in C(\Phi_A)).$$

So, in principle, studying compact spaces and continuous maps between them is the same as studying commutative  $C^*$ -algebras.

## Some (vague) motivation

- I'm going to come back to the ideas of the previous slide (repeatedly).
- But for now let's just take it as (vague) motivation for looking at various operator algebras.
- In particular, I'll look both a locally compact space  $G$ , for which we have a choice of  $C_0(G)$  and  $C^b(G)$ ;
- and at measured spaces  $(X, \mu)$  where it's natural to look at  $L^\infty(X)$ .
- As the other talks in this series have looked at Banach algebras, I'll start instead there.

# Locally compact groups

Let  $G$  be a locally compact group, and consider  $C_0(G)$ ,  $C^b(G)$  and  $L^\infty(G)$  (left Haar measure). These are two  $C^*$ -algebras and a von Neumann algebra: they depend only on the topological and measure space properties of  $G$ .

- For example, in the case when  $G$  is countable and discrete, these algebras capture nothing of interest about the *group*.

We turn  $L^1(G)$  into a Banach algebra for the convolution product:

$$(f * g)(s) = \int_G f(t)g(t^{-1}s) dt.$$

This *does* remember the structure of  $G$ , in the following sense:

## Theorem (Wendel)

*If  $L^1(G)$  and  $L^1(H)$  are isometrically isomorphic as Banach algebras, then  $G$  is, as a topological group, isomorphic to  $H$ .*

## At the Operator algebra level

Can we equip  $L^\infty(G)$  with “extra structure” so that it remembers  $G$ ?

Define a map  $\Delta : L^\infty(G) \rightarrow L^\infty(G \times G)$  by

$$\Delta(F)(s, t) = F(st) \quad (F \in L^\infty(G), s, t \in G).$$

This is a unital, injective,  $*$ -homomorphism which is normal (weak\*-continuous).

The pre-adjoint is a map  $L^1(G \times G) \rightarrow L^1(G)$ . As  $L^1(G) \otimes L^1(G)$  embeds into  $L^1(G \times G)$ , we get a bilinear map on  $L^1(G)$ . This is actually the convolution product, as

$$\begin{aligned} \langle F, \Delta_*(f \otimes g) \rangle &= \langle \Delta(F), f \otimes g \rangle = \int_{G \times G} F(st) f(s) g(t) \, ds \, dt \\ &= \int_G F(t) \int_G f(s) g(s^{-1}t) \, ds \, dt = \langle F, f * g \rangle. \end{aligned}$$

# Interpretation

- We can think of  $(L^\infty(G), \Delta)$  as an object which remembers  $G$ .
- Indeed,  $\Delta$  is “co-associative” in that  $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$  as maps  $L^\infty(G) \rightarrow L^\infty(G \times G \times G)$ , as

$$(\Delta \otimes \text{id})\Delta(F)(s, t, r) = F((st)r), \quad (\text{id} \otimes \Delta)\Delta(F)(s, t, r) = F(s(tr)).$$

- A pair  $(M, \Delta)$  with  $M$  a von Neumann algebra and  $\Delta : M \rightarrow M \overline{\otimes} M$  coassociative is a “Hopf-von Neumann algebra”.
- Not all commutative examples come from  $L^\infty(G)$ .
- Another interpretation is that  $L^1(G)$  is a particularly nice Banach algebra: it’s dual is a von Neumann algebra, and the dual of the product “respects” the structure of  $L^\infty(G)$ . Compare the notion of an “F-algebra” (“Lau-algebra”).

# Amenability

- A topologically left invariant mean on  $G$  is a state  $M$  on  $L^\infty(G)$  with  $M(f * F) = M(F)$  for  $F \in L^\infty(G)$  and  $f \in L^1(G)$  with  $f \geq 0$ ,  $\int f = 1$ .
- Given  $f \in L^1(G)$  let  $\tilde{f}(s) = \nabla(s^{-1})f(s^{-1})$  with  $\nabla$  the modular function; then  $f \mapsto \tilde{f}$  is an isometric linear anti-homomorphism on  $L^1(G)$ .
- We calculate:

$$f * F(s) = \int f(t)F(t^{-1}s) dt = \int f(t^{-1})\nabla(t^{-1})F(ts) dt = F \cdot \tilde{f},$$

the module action of  $L^1(G)$  on  $L^\infty(G)$ .

- Using  $\Delta$  this is  $(\tilde{f} \otimes \text{id})\Delta(F)$ .
- So  $M$  is a state with, for any  $f \in L^1(G)$ ,  $F \in L^\infty(G)$ ,

$$\langle M, (f \otimes \text{id})\Delta(F) \rangle = \langle M, F \rangle \langle 1, f \rangle \quad \Leftrightarrow \quad (\text{id} \otimes M)\Delta(F) = \langle M, F \rangle 1.$$

- Non-commutative: Can't talk about points of course...

# Towards the Fourier algebra: group algebras

We let  $G$  act on  $L^2(G)$  by the left-regular representation:

$$(\lambda(s)\xi)(t) = \xi(s^{-1}t) \quad (\xi \in L^2(G), s, t \in G).$$

The  $s^{-1}$  arises to make  $G \mapsto B(H); s \mapsto \lambda(s)$  a group homomorphism.

We can integrate this to get a contractive homomorphism  $\lambda : L^1(G) \rightarrow B(L^2(G))$ . The action of  $L^1(G)$  on  $L^2(G)$  is just convolution:

$$\lambda(f)\xi(t) = \int_G f(s)\lambda(s)\xi(t) = \int_G f(s)\xi(s^{-1}t) ds.$$

Let the norm closure of  $L^1(G)$  in  $B(L^2(G))$  be  $C_r^*(G)$ , the (reduced) group  $C^*$ -algebra. The weak-operator closure is  $VN(G)$ , the group von Neumann algebra. Equivalently,  $VN(G)$  is  $\{\lambda(s) : s \in G\}''$ .

We can similarly form the right-regular representation  $\rho(s)\xi(t) = \xi(ts)\nabla(s)^{1/2}$  leading to right group von Neumann algebra  $VN_r(G)$ . Then  $VN(G)' = VN_r(G)$  and  $VN_r(G)' = VN(G)$ .

(Particularly short proofs of this may be sent to the speaker on a postcard.)



## As a Hopf von Neumann algebra

We claim that there is a normal, unital injective  $*$ -homomorphism  $\Delta : VN(G) \rightarrow VN(G \times G)$  satisfying

$$\Delta(\lambda(s)) = \lambda(s) \otimes \lambda(s) = \lambda(s, s).$$

Here we identify  $VN(G) \overline{\otimes} VN(G)$  with  $VN(G \times G)$ . If  $\Delta$  exists, then it's uniquely defined by this property.

Define  $\hat{W} : L^2(G \times G) \rightarrow L^2(G \times G)$  by

$$\hat{W}\xi(s, t) = \xi(ts, t) \quad (\xi \in L^2(G \times G), \xi, \eta \in G).$$

Then  $\hat{W}$  is unitary, and

$$\begin{aligned} (\hat{W}^*(1 \otimes \lambda(r))\hat{W}\xi)(s, t) &= ((1 \otimes \lambda(r))\hat{W}\xi)(t^{-1}s, t) \\ &= (\hat{W}\xi)(t^{-1}s, r^{-1}t) = \xi(r^{-1}tt^{-1}s, r^{-1}t) \\ &= (\lambda(r) \otimes \lambda(r))\xi(s, t). \end{aligned}$$

## Definition of $\Delta$

So we could *define*  $\Delta$  by

$$\Delta(x) = \hat{W}^*(1 \otimes x)\hat{W} \quad (x \in VN(G)).$$

Then obviously  $\Delta$  is an injective, unital, normal  $*$ -homomorphism, and  $\Delta(\lambda(s)) = \lambda(s) \otimes \lambda(s)$ , so by normality,  $\Delta$  must map into  $VN(G \times G)$ .

Obviously  $(\Delta \otimes \text{id})\Delta = (\text{id} \otimes \Delta)\Delta$ .

So  $(VN(G), \Delta)$  is a Hopf von Neumann algebra, and hence the pre-adjoint of  $\Delta$  turns the predual of  $VN(G)$  into a Banach algebra.

# The Fourier Algebra

Let  $A(G)$  be the predual of  $VN(G)$ .

- So  $A(G)$  is the (unique) Banach space such that  $A(G)^* = VN(G)$ .
- As  $\{\lambda(s) : s \in G\}$  has weak\*-dense linear span in  $VN(G)$ , for  $\omega \in A(G)$ , the values

$$\omega(s) := \langle \lambda(s), \omega \rangle \quad (s \in G)$$

completely determine  $\omega$ .

- As  $G \rightarrow VN(G); s \mapsto \lambda(s)$  is SOT continuous,  $s \mapsto \omega(s)$  is continuous.
- We identify  $\omega$  with this continuous function, and so realise  $A(G)$  as a space of continuous functions.
- Another concrete realisation of the predual is as a quotient of the trace-class operators on  $L^2(G)$ . For  $\xi, \eta \in L^2(G)$  let  $\omega_{\xi, \eta}$  be the normal functional  $VN(G) \ni x \mapsto (x\xi|\eta)$ .
- Then

$$\omega_{\xi, \eta}(s) = (\lambda(s)\xi|\eta) = \int_G \xi(s^{-1}t)\overline{\eta(t)} dt \implies \omega_{\xi, \eta} \in C_0(G).$$

# The Fourier Algebra

- So  $A(G)$  is a subspace of  $C_0(G)$ .
- But the norm comes from  $A(G)^* = VN(G)$ ; the map  $A(G) \rightarrow C_0(G)$  is norm-decreasing and has dense range.
- We use the coproduct  $\Delta$  to turn  $A(G)$  into a Banach algebra

$$\langle \lambda(s), \omega_1 \star \omega_2 \rangle := \langle \Delta(\lambda(s)), \omega_1 \otimes \omega_2 \rangle = \langle \lambda(s) \otimes \lambda(s), \omega_1 \otimes \omega_2 \rangle = \omega_1(s)\omega_2(s).$$

Here I use “ $\star$ ” for a product, not to denote convolution.

- Indeed, we see that the product is the point-wise product.  $A(G) \rightarrow C_0(G)$  is also an algebra homomorphism.
- This is Eymard’s Fourier algebra.
- [Walter] If  $A(G)$  and  $A(H)$  are isometrically isomorphic, then  $G$  is isomorphic to (maybe the opposite of)  $H$ . If we insist on *completely* isometric, we have that  $G$  is isomorphic to  $H$ .

## For abelian groups

If  $G$  is abelian, we can form the Pontryagin dual  $\hat{G}$ :

- the collection of all continuous characters  $G \rightarrow \mathbb{T}$ ;
- with group product the pointwise product  $(\phi_1\phi_2)(s) = \phi_1(s)\phi_2(s)$ .
- with topology given by uniform convergence on compacta.

We then have the Fourier transform:

$$\mathcal{F} : L^2(G) \rightarrow L^2(\hat{G}); \quad \mathcal{F}(f)(\phi) = \int_G f(s)\overline{\phi(s)} ds$$

If we normalise the Haar measures correctly,  $\mathcal{F}$  is unitary.

- the dual of  $\mathbb{Z}$  is  $\mathbb{T}$ , where  $\theta \in [0, 2\pi)$  parameterises the character  $\mathbb{Z} \ni n \mapsto e^{in\theta}$ ;
- the dual of  $\mathbb{R}$  is  $\mathbb{R}$ , where  $x \in \mathbb{R}$  parameterises the character  $\mathbb{R} \ni t \mapsto e^{itx}$ .  
You need a  $2\pi$  somewhere to get the normalisation correct.

# The Fourier Transform

We regard  $L^\infty(\hat{G})$  as also acting on  $L^2(\hat{G})$ , by multiplication.

Then we have a  $*$ -isomorphism

$$VN(G) \rightarrow L^\infty(\hat{G}) \quad x \mapsto \mathcal{F} \circ x \circ \mathcal{F}^{-1},$$

(On integrable functions, this will reduce to (some variant of) the familiar Fourier transform formula.)

This  $*$ -isomorphism is normal, and so induces an isomorphism  $A(G) \cong L^1(\hat{G})$ .

Our intuition is that  $A(G)$ , even for non-abelian  $G$ , can be thought of as being the  $L^1$  algebra on the “group”  $\hat{G}$ .

# Amenability for $A(G)$

## Theorem (Dunkl–Ramirez, Granirer, Renaud)

For any  $G$  there is a state  $M \in VN(G)^*$  with  $(\text{id} \otimes M)\Delta(x) = \langle M, x \rangle 1$  for  $x \in VN(G)$ .

So  $\hat{G}$  is always amenable.

## Theorem (Leptin)

$A(G)$  has a bounded approximate identity if and only if  $G$  is amenable.

Of course,  $L^1(G)$  always has a bounded approximate identity.

# Duality between $G$ and $\hat{G}$

- Given a homomorphism  $G \rightarrow H$  we can define a homomorphism  $\hat{H} \rightarrow \hat{G}$ . This establishes an anti-equivalence of categories.
- Pontryagin duality:  $\hat{\hat{G}} = G$  in a canonical fashion (biduality functor is naturally equivalent to the identity.)
- We have seen that  $A(G)$  behaves “like” it is  $L^1(\hat{G})$ .
- Can we make this more precise? Single out a collection of objects, which include  $A(G)$  and  $L^1(G)$ , which has a (bi)duality theory, and forms a category.
- Work of e.g. Takesaki, Tatsuuma, Stinespring, later Enock, Schwarz, Kac, Vainermann lead to “Kac algebras”: Hopf von Neumann algebras  $(M, \Delta)$  with many other “gadgets”.
- While this works, it is complicated, and Woronowicz’s notion of a *compact quantum group* does not fit into this framework: this is where we next look.



# Unitary implementing the coproduct

In defining  $\Delta$  on  $VN(G)$  I made use of a unitary  $\hat{W}$ . Set

$$W = \sigma \hat{W}^* \sigma \implies W\xi(s, t) = \xi(s, s^{-1}t),$$

where  $\sigma \in \mathcal{B}(L^2(G \times G))$  is the “swap map”  $\sigma(\xi)(s, t) = \xi(t, s)$ .

For  $F \in L^\infty(G)$  acting on  $L^2(G)$  by multiplication,

$$W^*(1 \otimes F)W\xi(s, t) = (1 \otimes F)W\xi(s, st) = F(st)W\xi(s, st) = F(st)\xi(s, t),$$

and so, again,  $W^*(1 \otimes F)W = \Delta(F)$ .

## Where does $W$ live?

$$W\xi(s, t) = \xi(s, s^{-1}t)$$

- Informally, given a von Neumann algebra  $M$ , we think of  $L^\infty(G) \overline{\otimes} M$  as being bounded measurable functions  $G \rightarrow M$ .
- Then  $s \mapsto \lambda(s)$  is even SOT continuous, so defines  $\Lambda \in L^\infty(G) \overline{\otimes} VN(G)$  say, which acts on  $\xi \otimes \eta$  as

$$\begin{aligned}\Lambda(\xi \otimes \eta)(s) &= \xi(s)\lambda(s)\eta \text{ under } L^2(G \times G) = L^2(G, L^2(G)), \\ \implies \Lambda(\xi \otimes \eta)(s, t) &= \xi(s)\eta(s^{-1}t) = W(\xi \otimes \eta)(s, t).\end{aligned}$$

- So  $W$  “is” the left-regular representation, and  $W \in L^\infty(G) \overline{\otimes} VN(G)$ .
- More carefully, we could use Tomita’s theorem and check that  $W$  commutes with  $F \otimes \rho(s) \in L^\infty(G) \overline{\otimes} VN_r(G)$  so  $W \in L^\infty(G)' \overline{\otimes} VN_r(G)' = L^\infty(G) \overline{\otimes} VN(G)$ .

## Using $W \in L^\infty(G) \overline{\otimes} VN(G)$

The map  $\lambda : L^1(G) \rightarrow VN(G)$  is actually

$$\lambda(f) = (f \otimes \text{id})(W) \quad (f \in L^1(G)).$$

- This should be true given the informal thinking on the previous slide!

If  $\xi, \eta \in L^2(G)$  and  $f = \xi\bar{\eta} \in L^1(G)$ , then  $f$  is  $\omega_{\xi, \eta}$  restricted to  $L^\infty(G) \subseteq \mathcal{B}(L^2(G))$  and

$$\begin{aligned} ((\omega_{\xi, \eta} \otimes \iota)W\gamma|\delta) &= (W(\xi \otimes \gamma)|\eta \otimes \delta) = \int_{G \times G} \xi(s)\gamma(s^{-1}t)\overline{\eta(s)\delta(t)} \, ds \, dt \\ &= \int_{G \times G} f(s)\gamma(s^{-1}t)\overline{\delta(t)} \, ds \, dt = (f * \gamma|\delta). \end{aligned}$$

Thus indeed  $(\omega_{\xi, \eta} \otimes \iota)W = \lambda(f)$ .

## For the dual

$$\hat{W}\xi(s, t) = \xi(ts, t)$$

Similarly, we calculate  $(\omega_{\xi, \eta} \otimes \text{id})(\hat{W})$ :

$$\begin{aligned} ((\omega_{\xi, \eta} \otimes \text{id})(\hat{W})\gamma|\delta) &= (\hat{W}(\xi \otimes \gamma)|\eta \otimes \delta) \\ &= \int_{G \times G} \xi(ts)\gamma(t)\overline{\eta(s)\delta(t)} ds dt = \int_G (\lambda(t^{-1})\xi|\eta)\gamma(t)\overline{\delta(t)} dt. \end{aligned}$$

- So  $(\omega_{\xi, \eta} \otimes \text{id})(\hat{W})$  is the operator on  $L^2(G)$  of multiplication by the continuous function  $t \mapsto \omega(t^{-1}) := (\lambda(t^{-1})\xi|\eta)$ .
- So up to an inverse, this is the embedding of  $A(G)$  into  $C_0(G) \subseteq L^\infty(G)$ .
- So  $W$  allows us to reconstruct  $L^\infty(G)$ ,  $VN(G)$ ,  $L^1(G)$ ,  $A(G)$  their products and the maps between them.

# Summary

- Introduced  $L^1(G)$  and  $A(G)$  from a von Neumann algebra perspective.
- Motivated, a little, that these are “dual” to each other:
  - ▶ Both from quite a “formal” level;
  - ▶ Also at the level of how proofs works.
- Saw how a single unitary operator essentially stores all the information.

What's next:

- We've focused on von Neumann algebras: but arguably the *topology* is more basic than the *measure theory*. So we should be looking at  $C^*$ -algebras.
- Haven't yet mentioned quantum groups.