



Elliott's program and descriptive set theory I

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LC 2012, Manchester, July 12

a, a, a, a, the, the, the, the.

I shall need this exercise later, someone please solve it

Exercise

If $A = \varinjlim_n A_n$, $B = \varinjlim_n B_n$ and there are morphisms φ_j, ψ_j such that the following diagram commutes

$$\begin{array}{ccccccc} A_1 & \longrightarrow & A_2 & \longrightarrow & A_3 & \longrightarrow & \cdots & A \\ \varphi_1 \downarrow & \nearrow \psi_1 & \varphi_2 \downarrow & \nearrow \psi_2 & \varphi_3 \downarrow & & & \\ B_1 & \longrightarrow & B_2 & \longrightarrow & B_3 & \longrightarrow & \cdots & B \end{array}$$

then $A \cong B$.

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 - 1.1 Basic properties of C^* -algebras.
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 - 1.2 Classification: UHF and AF algebras.
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2. Friday: Applying logic to 1.2–1.3.
3. Saturday: Convincing you that 1.2–1.3 is logic.

Prologue

A topological space X is *Polish* if it is separable and completely metrizable.

A subset of X is *analytic* if it is a continuous image of a Borel set.

An equivalence relation E on X is analytic if it is an analytic subset of X^2 .

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In almost all cases, the space of invariants has a Polish topology and the computation of invariants is given by a Borel-measurable function.

Hilbert space, inner product

$$v = (v_0, v_1, \dots, v_n, \dots) \in \mathbb{C}^{\mathbb{N}}$$

$$(v|u) = \sum_n v_n \bar{u}_n \quad \text{inner product}$$

$$\|v\| = \sqrt{(v|v)} \quad \text{norm}$$

$$\ell_2 = \{v \mid \|v\| < \infty\}$$

$$L_2(\mu) = \{f: [0, 1] \rightarrow \mathbb{C} \mid \int |f|^2 d\mu < \infty\}$$

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Fact

Two complex Hilbert spaces are isomorphic iff their dimensions are equal. In particular, $\ell_2 \cong L_2(\mu)$.

C*-algebras

H : a complex Hilbert space, ℓ_2

If $a: H \rightarrow H$ is linear let

$$\|a\| = \sup_{\|\xi\|=1} \|a\xi\|.$$

Define a^* implicitly via

$$(a\eta|\xi) = (\eta|a^*\xi)$$

for all η and ξ in H .

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Example

If $\dim(H) = n$ then $\mathcal{B}(H)$ is $M_n(\mathbb{C})$: $n \times n$ complex matrices.

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An *abstract C^* -algebra* is a Banach algebra with involution $(A, +, \cdot, *, \|\cdot\|)$ such that

1. $(a^*)^* = a$
2. $(ab)^* = b^*a^*$
3. $\|a\| = \|a^*\|$
4. $\|ab\| \leq \|a\| \cdot \|b\|$
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Every concrete C*-algebra is an abstract C*-algebra.

Theorem (Gelfand–Naimark–Segal, 1942)

Every abstract C-algebra is isomorphic to a concrete C*-algebra.*

Examples of C^* -algebras

1. $\mathcal{B}(H)$, $M_n(\mathbb{C})$.
2. If X is a compact Hausdorff space,

$$C(X) = \{f: X \rightarrow \mathbb{C} \mid f \text{ is continuous}\}.$$

Gelfand–Naimark duality

A C^* -algebra is *unital* if it has a multiplicative unit.

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Theorem

Categories of unital abelian C^ -algebras with $*$ -homomorphisms and compact Hausdorff spaces with continuous maps are equivalent.*

$$\begin{array}{ccc} X & \xrightarrow{\varphi} & Y \\ C(X) & \xleftarrow{g \mapsto g \circ \varphi} & C(Y) \end{array}$$

Automatic continuity

Proposition

Every $*$ -homomorphism $\Phi: A \rightarrow B$ between C^* -algebras satisfies

$$\|\Phi(a)\| \leq \|a\|$$

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Then use the C^* -equality, $\|a\|^2 = \|a^*a\|$. □

Direct (or inductive) limits

Assume A_λ , for $\lambda \in \Lambda$, is a directed system of C*-algebras with

$$\Phi_{\kappa\lambda}: A_\kappa \rightarrow A_\lambda$$

for $\kappa < \lambda$ in Λ a commuting system of *-homomorphisms. Since all $\Phi_{\kappa\lambda}$ are contractions, on the 'algebraic' direct limit we have a uniquely defined C*-norm.

Let $\lim_\lambda A_\lambda$ be the closure of the 'algebraic' direct limit.

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$$\begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \mapsto \begin{pmatrix} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 0 & 0 & a_{11} & a_{12} \\ 0 & 0 & a_{21} & a_{22} \end{pmatrix}$$

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$$M_{2^\infty}(\mathbb{C}) = \varinjlim M_{2^n}(\mathbb{C}).$$

The structure of full matrix algebras

Lemma

There is a unital $$ -homomorphism of $M_m(\mathbb{C})$ into $M_n(\mathbb{C})$ if and only if m divides n . Moreover, such $*$ -homomorphism is unique up to a unitary conjugacy.*

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Partial proof.

If $m|n$ then $a \mapsto \text{diag}(a, a, \dots, a)$ (a repeated m/n times). □

Uniformly HyperFinite (UHF) algebras are direct limits of full matrix algebras

If $A = \lim_j M_{n(j)}(\mathbb{C})$ is unital, let the *generalized integer* associated to A be the formal infinite product

$$GI(A) = \prod_{p \text{ prime}} p^{k(p)}$$

where $k(p)$ is the supremum of all k such that p^k divides $n(l)$ for some l .

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It seems that

$$GI(M_{2^\infty}) = 2^{\aleph_0},$$

but one needs to check that $GI(A)$ is well-defined.

Stability

We need to show that $A = \lim_j M_{n(j)}(\mathbb{C}) = \lim_j M_{k(j)}(\mathbb{C})$ implies

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$$C \subseteq_\varepsilon D$$

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Lemma

$(\forall k \in \mathbb{N})(\exists \varepsilon > 0)$ such that for all A and subalgebras C and D of A such that $C \subseteq_{\varepsilon} D$ and $C \cong M_k(\mathbb{C})$, then there exists an inner automorphism Φ of A such that $\Phi[C] \subseteq D$.

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Corollary

If A is separable then LM implies UHF.

Classification of UHF algebras

Theorem (Glimm, 1960)

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commutes, and by the exercise we have $A \cong B$.

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A C^* -algebra is *AF (Approximately Finite)* if it is a direct limit of finite-dimensional C^* -algebras.

Lemma

Every finite-dimensional C^ -algebra is a direct sum of full matrix algebras.*

Bratteli diagrams

Consider unital $*$ -homomorphism

$$\Phi: M_2(\mathbb{C}) \oplus M_3(\mathbb{C}) \rightarrow M_6(\mathbb{C}) \oplus M_5(\mathbb{C}) \oplus M_6(\mathbb{C})$$

$$(a, b) \mapsto (\text{diag}(a, a, a), \text{diag}(a, b), \text{diag}(b, b)).$$

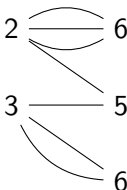
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The *Bratteli diagram* describing this map is the following:



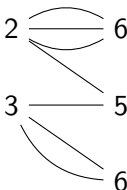
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Bratteli diagram determines $*$ -homomorphism Φ uniquely, up to the unitary conjugacy.

Examples of Bratteli diagrams that describe AF algebras



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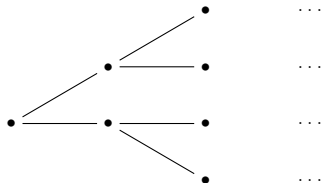
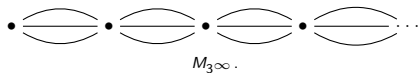
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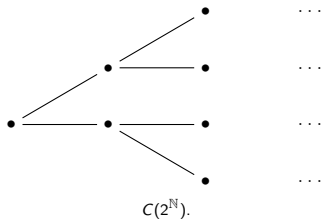
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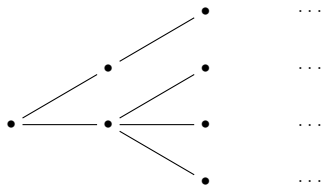
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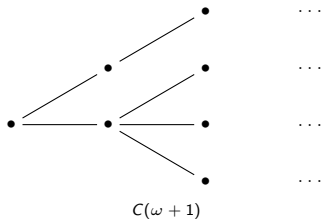
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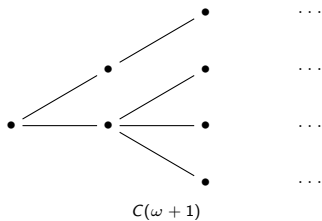
More examples of Bratteli diagrams



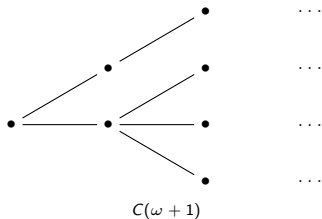
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Fibonacci algebra: a simple unital AF algebra with a unique trace that is not UHF.

Classification of AF algebras: Stabilization

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Consider the direct limit of $M_n(A)$, for $n \in \mathbb{N}$, with (non-unital) $*$ -homomorphism

$$\Phi_n: M_n(A) \rightarrow M_{n+1}(A)$$

defined via

$$\Phi_n(a) = \begin{pmatrix} a & 0 \\ 0 & 0 \end{pmatrix}.$$

Then $M_\infty(A) = \lim_n M_n(A)$ is the *stabilization* of A .

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Let $V(A)$ be the set of projections on $M_\infty(A)$ modulo \sim , equipped with the operation \oplus defined by

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 $K_0(A)^+$ is the set of elements of $K_0(A)$ that correspond to projections in $M_\infty(A)$.

K-theoretic classification

Example

If A is UHF then $K_0(A) = \{k/m : k \in \mathbb{Z}, m \text{ divides } GI(A)\}$.

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Theorem (Elliott, 1975)

Separable unital AF algebras are classified by the ordered (countable, abelian) group

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Categories of AF algebras and their K_0 groups are equivalent.

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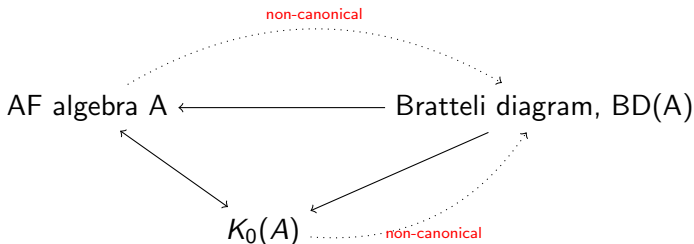
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Conjecture (Elliott, 1990's)

All nuclear,¹ separable, simple, unital, infinite-dimensional C-algebras are classified by the K-theoretic invariant,*

$$\text{Ell}(A) : \quad ((K_0(A), K_0(A)^+, 1), K_1(A)).$$

¹I shall define nuclear C*-algebras on Saturday. All algebras mentioned today (except $\mathcal{B}(H)$) are nuclear.

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This conjecture has led to some spectacular developments.

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Examples

If \mathbb{K} is a class of compact Hausdorff spaces, then $A\mathbb{K}$ algebras have building blocks of the form

$$C(X, M_m(\mathbb{C})) = \{f: X \rightarrow M_m(\mathbb{C}) \mid X \in \mathbb{K} \text{ and } f \text{ is continuous}\}$$

With $\mathbb{K} = \{[0, 1]\}$ we have AI algebras, if $\mathbb{K} = \{\{z \in \mathbb{C} : |z| = 1\}\}$ we have AT algebras, if \mathbb{K} is the class of all compact metric spaces then we have AH algebras.

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If $\sup\{\dim(X) : X \in \mathbb{K}\} < \infty$ then simple unital $A\mathbb{K}$ algebras are classified by their Elliott invariant.

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It actually suffices to have algebras of *slow dimension growth*.

Nuclear, separable, simple, unital counterexamples

Jiang–Su, 1999

There exists an infinite-dimensional C^* -algebra \mathcal{Z} such that $\text{Ell}(\mathcal{Z}) = \text{Ell}(\mathbb{C})$ and $\text{Ell}(A \otimes \mathcal{Z}) = \text{Ell}(A)$ for all A .

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The algebra A constructed by Toms cannot be distinguished from $A \otimes \mathcal{Z}$ by any ‘reasonable’ invariant.

Elliott's conjecture recast

Conjecture (Toms–Winter, 2009)

All nuclear, separable, simple, unital algebras A such that $A \otimes \mathcal{Z} \cong A$ are classified by Elliott's invariant.

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Next time:

1. What is the descriptive complexity of the isomorphism relation of C^* -algebras?