# Local invariants of maps between 3-manifolds

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Conference Legacy of Vladimir Arnold

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# History

#### Vassiliev finite order invariants of knots

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Non-coorientable direct self-tangency stratum:



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Consider maps of an oriented closed 3-manifold M to oriented  $\mathbb{R}^3$ . There are 7 linearly independent invariants over  $\mathbb{Z}$  and 11 over  $\mathbb{Z}_2$ .

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Consider maps of an oriented closed 3-manifold M to oriented  $\mathbb{R}^3$ . There are 7 linearly independent invariants over  $\mathbb{Z}$  and 11 over  $\mathbb{Z}_2$ .

Further details and other orientation settings in

VG, *Local invariants of maps between 3-manifolds,* Journal of Topology **6** (2013) 757-776

## Generic critical value sets

 $f: M^3 \to N^3$  Critical values:  $\mathcal{C} \subset N$ 

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Co-orientation of the regular part of C:

towards its side with more local preimages



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Cuspidal edges: positive and negative according to the local degree of the map being  $\pm 1$ 

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Hence signs for swallowtails:



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# **Examples of local invariants**

#### 6 obvious:

- $I_t$ , the number of triple points  $A_1^3$ ;
- $I_{s_{\pm}}$ , the numbers of positive and negative swallowtails;

#### $I_{c_{\pm}}$ , the numbers of $A_2^{\pm}A_1$ points;

 $I_{\chi}$ , the Euler characteristic of the critical locus  $\mathcal{K} \subset M$ .

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# Linking invariant $I_{\Sigma^2}$

Victor Goryunov Local invariants of maps between 3-manifolds

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# Linking invariant $I_{\Sigma^2}$

 $\Sigma^2 \subset J^1(M, N)$ , set of all jets with linear parts of corank  $\geq 2$ .

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The images of the extensions  $j^1 f_t$  define a 4-film  $\varphi \subset J^1(M, N)$ . Orient  $\varphi$  as  $[0, 1] \times M$ . Due to the parallelisability of M and N, we have well-defined value

$$I_{\Sigma^2}(f_1) = \langle \varphi, \Sigma^2 \rangle + I_{\Sigma^2}(f_0)$$

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## **Classification of integer-valued invariants**

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# **Classification of integer-valued invariants**

#### Theorem

The space of all integer-valued order 1 local invariants of maps of a closed oriented 3-manifold to an oriented 3-manifold has rank 7.

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$$(I_{s_+} \pm I_{s_-})/2$$
,  $(I_{c_+} + I_{c_-})/2$ ,  $I_t$ ,  $(I_t + I_{c_+})/2$ ,  $I_{\chi}/2$ ,  $I_{\Sigma^2}$ .

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## **Corank 2 bifurcations in 1-parameter families**

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## Corank 2 bifurcations in 1-parameter families

 $I_{\Sigma^2}$  changes by 1 at a positive crossing of codimension 1 strata of generic corank 2 maps  $M \to \mathbb{R}^3$ .

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 $D_4^{-\pm}$ : (±( $x^2 - y^2$ ) +  $zx - \lambda y, xy, z$ ), of local degree ±2



By this transition we co-orient the  $D_4^{-+}$  stratum. The co-orientation of  $D_4^{--}$  is in the opposite direction. Both co-orientations correspond to the increase of the deformation parameter  $\lambda$ .

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 $D_4^{\pm\pm}$ :  $(x^2 + y^2 + zy + \lambda x, \pm xy, z)$ , where  $\pm$  is the edge sign for  $\lambda = 0$ :



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Half of the right surface:



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Half of the right surface:



Co-orientation:

by the sign of the swallow tails, equivalently by the increase of  $\lambda$ 

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## Catalog of 1-parameter bifurcations of cork 1 maps

#### **Uni-germs**



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#### **Multi-germs**



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## **Classification of mod2 invariants**

#### Theorem

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The  $I_{L+}$  invariants are due to Franka Aicardi.

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## Framed link from the cuspidal edge



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Since the number of crossings of two different components is even,  $w \mod 4$  does not depend on the orientations of the components. Let n be the number of components of the link.

**Theorem** The mod2 invariant  $I_{fe} = n + w/2$  is local.

#### Lemma

#### Consider two local modifications of a framed link:



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Consider two local modifications of a framed link:



Assume the framing of all participating fragments is blackboard.

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Assume the framing of all participating fragments is blackboard. Then the 1st move changes  $(n + w/2) \mod 2$  by 1, while the 2nd preserves this number.

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# Framed link $L_+$ from the positive edges and selfintersection

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The invariant  $I_{L_+}$  is similar to  $I_{fe}$  plus half the number of triple points

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Introduction	Classification
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### Corollary of the last Theorem

The rank of the mod2 invariant space for maps between two oriented 3-manifolds is at least 7 and at most 11.

## Non-oriented source

The setting eliminates the signs of edges and swallowtails.

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### Theorem

The space of all integer order 1 local invariants of maps from any closed non-orientable 3-manifold to any 3-manifold has rank 4.

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### Theorem

The space of all integer order 1 local invariants of maps from any closed non-orientable 3-manifold to any 3-manifold has rank 4. The space is generated by

- $I_s/2$ , half of the total number of swallowtails of the critical value set C,
- $I_c/2$ , half of the number of  $A_2A_1$  points of C,
  - $I_t$ , the number of triple points of  $\mathcal{C}$ , and
- $I_{\chi}/2$ , half of the Euler characteristic of the critical locus.

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  - $\textit{I}_t, \text{ the number of triple points of } \mathcal{C}, \text{ and }$
- $I_{\chi}/2$ , half of the Euler characteristic of the critical locus.

*Reason*: the claim holds for  $\mathbb{R}^3$  as the target, since integer  $I_{\Sigma^2}$  requires orientation of the source.

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#### Theorem

a) The space of the mod2 invariants of maps from a non-orientable 3-manifold to  $\mathbb{R}^3$  has rank 6.

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#### Theorem

a) The space of the mod2 invariants of maps from a non-orientable 3-manifold to  $\mathbb{R}^3$  has rank 6. Its basis is formed by  $I_s/2$ ,  $I_c/2$ ,  $I_t$ ,  $I_{\chi}/2$ ,  $I_{\Sigma^2}$  and  $I_{\Sigma^{1,1,1,1}}$ .

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#### Theorem

- a) The space of the mod2 invariants of maps from a non-orientable 3-manifold to  $\mathbb{R}^3$  has rank 6. Its basis is formed by  $I_s/2$ ,  $I_c/2$ ,  $I_t$ ,  $I_{\chi}/2$ ,  $I_{\Sigma^2}$  and  $I_{\Sigma^{1,1,1,1}}$ .
- b) If the target is arbitrary, then the rank of the mod2 invariant space is at least 4 and at most 6.

## Oriented source and non-oriented target

 $I_{\Sigma^2}$  survives over  $\mathbb{Z}$  for  $\mathbb{R}^3$  as the target.

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The mod2 statement is the same as for a non-oriented source.